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I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.

By: Mark Green  
Name: Mark Green

CONTINUATION APPLICATION UNDER 37 C.F.R. § 1.53(b)

BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

This is a request for filing a continuation application under 37 CFR § 1.53(b) of Serial No. 08/474,097, filed on June 7, 1995 entitled COMPOSITE MASONRY BLOCK by the following inventor(s):

Full Name of Inventor	Family Name	First Given Name	Second Given Name
	GUTH	THEODORE	E.
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
	ST. LOUIS PARK	MINNESOTA	USA
Post Office Address	Post Office Address	City	State & Zip Code/Country
	2807 XENWOOD	ST. LOUIS PARK	MINNESOTA 55416/USA

- ☒ Enclosed is a copy of the prior application; including the specification, claims, drawings, oath or declaration showing the applicant's signature, and any amendments referred to in the oath or declaration filed to complete the prior application. (It is noted that no amendments referred to in the oath or declaration filed to complete the prior application introduced new matter therein.) The continuing application is as follows: 46 pages of specification, 27 claims, 1 pages of abstract, 12 sheets of drawings, and 3 pages of oath or declaration.
- ☒ The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☐ Cancel original claims \_\_\_\_\_ of this application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☒ The filing fee is calculated below:

CLAIMS AS FILED

NUMBER FILED	NUMBER EXTRA		RATE	FEE
<b>TOTAL CLAIMS:</b> 27 -20	7	x	\$22.00	\$154.00
<b>INDEPENDENT CLAIMS</b> 3 -3	0	x	\$82.00	\$0.00
			<b>BASIC FILING FEE:</b>	\$790.00
			<b>TOTAL FILING FEE:</b>	\$944.00

☐ A Verified Statement that this filing is by a small entity is already filed in the prior application.

☐ A Verified Statement that this filing is by a small entity is attached.

4. ☒

Payment of fees:

☒ Attached is a check in the amount of \$944.00.

☐ Please charge Deposit Account No. 13-2725.

5. ☒

The Commissioner is hereby authorized to charge any additional fees as set forth in 37 CFR §§ 1.16 to 1.18 which may be required by this paper or credit any overpayment to Account No. 13-2725.

6. ☒

Amend the specification by inserting before the first line the sentence:

"This application is a Continuation of application Serial No. 08/474,097, filed June 7, 1995, which is a Continuation-In-Part of 08/130,298, filed October 1, 1993, which is a Continuation-in-Part of 08/056,986, filed May 4, 1993, which is a Continuation-In-Part of 07/957,598, filed October 6, 1992, which application(s) are incorporated herein by reference."

7. ☒

A set of formal drawings (12 sheets) is enclosed.

8. ☐

Priority of application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_ in \_\_\_\_\_, is claimed under 35 U.S.C. 119.

☐

The certified copy has been filed in prior application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.

9. ☒

The prior application is assigned of record to Anchor Wall Systems, Inc. located at Minnetonka, Minnesota.

10. ☒

The Power of Attorney in the prior application is to:

Merchant, Gould, Smith, Edell,  
Welter & Schmidt, P.A.  
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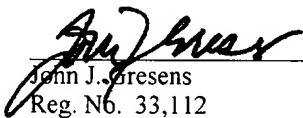
11. ☐

A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

- ☐ Fee for excess claims is attached.
12. ☐ A petition and fee has been filed to extend the term in the prior application until \_\_\_\_\_. A copy of the petition for extension of time in the prior application is attached.
13. ☐ The inventor(s) in this application are less than those named in the prior application and it is requested that the following inventors identified above for the prior application be deleted:
14. ☒ Also Enclosed: Information Disclosure Statement and PTO Form 1449
15. ☒ Address all future communications to the **Attention of John J. Gresens** (may only be completed by attorney or agent of record) at the address below.
16. ☒ A return postcard is enclosed.

Respectfully submitted,  
Merchant, Gould, Smith, Edell,  
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3100 Norwest Center  
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612/332-5300

Dated: Aug. 7, 1998

  
John J. Gresens  
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**COMPOSITE MASONRY BLOCK**

5

This patent application is a continuation-in-part of U.S. Patent Application, Serial No. 08/130,298, filed October 1, 1993 which is a continuation-in-part of U.S. Patent Application, Serial No. 08/056,986, filed May 4, 1993 which is a continuation-in-part of U.S. Patent Application, Serial No. 07/957,598, filed October 6, 1992.

**Field of the Invention**

The invention generally relates to concrete masonry blocks. More specifically, the invention relates to concrete masonry blocks which are useful in forming various retaining structures.

**Background of the Invention**

Soil retention, protection of natural and artificial structures, and increased land use are only a few reasons which motivate the use of landscape structures. For example, soil is often preserved on a hillside by maintaining the foliage across that plain. Root systems from the trees, shrubs, grass, and other naturally occurring plant life, work to hold the soil in place against the forces of wind and water. However, when reliance on natural mechanisms is not possible or practical, man often resorts to the use of artificial mechanisms such as retaining walls.

In constructing retaining walls, many different materials may be used depending on the given application. If a retaining wall is intended to be used to support the construction of a roadway, a steel wall or a concrete and steel wall may be appropriate. However, if the retaining wall is intended to landscape and conserve soil around a residential or commercial structure, a material may be used which compliments the architectural style of the structure such as wood timbers or concrete block.

Of all these materials, concrete block has received wide and popular acceptance for use in the construction of retaining walls and the like. Blocks used for these purposes include those disclosed by Forsberg, U.S. Patent Nos. 4,802,320 and Design 296,007, among others.

Previously, blocks have been designed to "setback" at an angle to counter the pressure of the soil behind the wall. Setback is generally considered the distance in which one course of a wall extends beyond the front surface of the next highest course of the same wall. Given blocks of the same proportion, setback may also be regarded as the distance which the back surface of a higher course of blocks extends backwards in relation to the back surface of a lower course of the wall.

There is often a need in the development of structures such as roadways, abutments and bridges to provide maximum usable land and a clear definition of property lines. Such

definition is often not possible through use of a composite masonry block which results in a setback wall. For example, a wall which sets back by its very nature will cross a property line and may also preclude maximization of usable land in the upper or subjacent property. As a result, a substantially vertical wall is more appropriate and desirable.

However, in such instances, vertical walls may be generally held in place through the use of mechanisms such as pins, deadheads, tie backs or other anchoring mechanisms to maintain the vertical profile of the wall. Besides being complex, anchoring mechanisms such as pin systems often rely on only one strand or section of support tether which, if broken, may completely compromise the structural integrity of the wall. Reliance on such complex fixtures often discourages the use of retaining wall systems by the everyday homeowner. Commercial landscapers may also avoid complex retaining wall systems as the time and expense involved in constructing these systems is not supportable given the price at which landscaping services are sold.

Further, retaining structures are often considered desirable in areas which require vertical wall but are not susceptible to any number of anchoring matrices or mechanisms. For example, in the construction of a retaining wall adjacent a building or other structure, it may not be possible to provide anchoring mechanisms such as

a matrix web, deadheads or tie backs far enough into the retained earth to actually support the wall. Without a retaining mechanism such as a matrix web, tie-back, or dead head, many blocks may not offer the high mass per face  
5 square foot necessary for use in retaining structures which have a substantially vertical profile.

Manufacturing processes may also present impediments to structures of adequate integrity and strength. Providing blocks which do not require elaborate pin systems  
10 or other secondary retaining and aligning means and are still suitable for constructing structures of optimal strength is often difficult. Various measures must be taken depending upon the nature and position of the detail point on the block that is being made. Further, a balance  
15 between manufacturing ease and block performance.

Two examples of block molding systems are disclosed in commonly assigned Woolford et al, U.S. Patent No. 5,062,610 and Woolford, U.S. Patent Application Serial No. 07/828,031 filed January 30, 1992 which are incorporated herein by  
20 reference. In both systems, advanced design and engineering is used to provide blocks of optimal strength and, in turn, structures of optimal strength, without the requirement of other secondary systems such as pins and the like. The Woolford et al patent discloses a mold which,  
25 through varying fill capacities provides for the uniform application of pressure across the fill. The Woolford

application discloses a means of forming block features through the application of heat to various portions of the fill.

As can be seen there is a need for a composite masonry block which is stackable to form walls of high structural integrity without the use of complex pin and connection systems and without the need for securing mechanisms such as pins, or tie backs.

10

#### Summary of the Invention

In accordance with a first aspect of the invention, there is provided a pinless composite masonry block having a high unit mass per front surface square foot. The block comprises a front surface, a back surface, first and second sides, as well as a top surface and a bottom surface. The block sidewalls each may comprise an opening or inset extending from the top surface to the bottom surface. The block also comprises a protrusion which is positioned, on either the top or bottom surface, so that it may mate with openings on adjacently positioned blocks. In use, the block may be made to form vertical or set back walls without pins or other securing mechanisms as a result of the high mass per front surface square foot.

In accordance with an additional aspect of the invention there is provided structures resulting from the blocks of the invention. In accordance with a further



aspect of the invention there is provided a mold and method of use resulting in the block of the invention.

### Brief Description of the Drawings

5       FIGURE 1 is a perspective view of one preferred embodiment of the block in accordance with the invention.

FIGURE 2 is a side plan view of the block of Fig. 1.

FIGURE 3 is a top plan view of the block of Fig. 1.

FIGURE 4 is a perspective view of an alternative  
10 preferred embodiment of the block in accordance with the invention.

FIGURE 5 is a side plan view of the block of Fig. 4.

FIGURE 6 is a top plan view of the block of Fig. 4.

FIGURE 7 is a perspective view of a retaining  
15 structure constructed with one embodiment of the composite masonry block of the invention.

FIGURE 8 is a cut away view of the wall shown in Fig. 7 showing a vertical wall taken along lines 8-8.

FIGURE 9 is a perspective view of a further  
20 alternative embodiment of the block in accordance with the invention.

FIGURE 10 is a perspective view of another further alternative embodiment of the block in accordance with the invention.

25       FIGURE 11 is a top plan view of the block depicted in Fig. 10.

FIGURE 12 is a cutaway view of a retaining structure constructed with the blocks depicted in Figs. 9 and 10.

FIGURE 13 is a top plan view of a alternative embodiment of a block depicting one view of a preferred  
5 embodiment of the block protrusion in accordance with a further aspect of the invention.

FIGURE 14 is a top plan view of a further alternative embodiment of a block depicting one view of a preferred embodiment of the block protrusion in accordance with a  
10 further preferred alternative aspect of the invention.

FIGURE 15 is a side plan view of the block shown in Figure 13.

FIGURE 16 is an enlarged side plan view of the block depicted in Figure 15 showing, in detail, aspects of  
15 protrusion 26.

FIGURE 17A is an exploded perspective view of the stripper shoe and head assembly of the invention.

FIGURE 17B is a perspective view of the mold assembly of the invention.

20 FIGURE 18 is a schematic depiction of the molding process of the invention.

#### **Detailed Description of the Preferred Embodiments**

Turning to the figures wherein like parts are  
25 designated with like numerals throughout several views, there is shown a composite masonry block in Figure 1. The

block generally comprises a front surface 12 and a back surface 18 adjoined by first and second side surfaces 14 and 16, respectively, as well as a top surface 10 and a bottom surface 8 each lying adjacent said front 12, back 18, and first 14 and second 16 side surfaces. Each of said side surfaces has an inset, 22A and 22B, spanning from the block top surface 10 to the block bottom surface 8. The block top surface 10 may also comprise one or more protrusions 26. Each protrusion is preferably positioned adjacent an inset 22A or 22B, on the block top surface 10.

The block generally comprises first and second legs 24A and 24B, respectively. The first leg 24A extends from the block first side 14. The second leg 24B extends from the block second side 16.

The composite masonry block of the invention generally comprises a block body. The block body functions to retain earth without the use of secondary mechanisms such as pins, dead heads, webs and the like. Preferably, the block body provides a retaining structure which may be manually positioned by laborers while also providing a high relative mass per square foot of face or front surface presented in the wall. To this end, the block may generally comprise a six-surface article.

The most apparent surface of the block is generally the front surface 12 which provides an ornamental or decorative look to the retaining structure, Figs. 1-3. The

front surface of the block may be flat, rough, split, convex, concave, or radial. Any number of designs may be introduced into the front surface. Two preferred front surfaces may be seen in Figs. 1-3 and 4-6. Additionally, 5 two alternative embodiments of the block of the invention may be seen in Figs. 9-11, and two additional alternative embodiments of the invention may be seen at Figs. 13 and 14. The block of the invention may comprise a flat or planar front surface or a roughened front surface 12 10 created by splitting a portion of material from the front of the block, Fig. 1-3.

In accordance with one other embodiment of the invention, the block may comprise a split or faceted front surface having three sides, Figs. 4-6.

15 The block of the invention generally also comprises two side surfaces 14 and 16, Figs. 1-6. These side surfaces assist in definition of the block shape as well as in the stacked alignment of the block. Generally, the block of the invention may comprise side surfaces which 20 take any number of forms including flat or planar side surfaces, angled side surfaces, or curved side surfaces. The side surfaces may also be notched, grooved, or otherwise patterned to accept any desired means for further aligning or securing the block during placement.

25 One preferred design for the side surfaces may be seen in Figs. 1-6. As can be seen, the side surfaces 14 and 16

are angled so as to define a block which has a greater width at the front surface 12 than at the back surface 18. Generally, the angle of the side surfaces (See Figs. 3 and 6) in relationship to the back surface as represented by 5 alpha degrees, may range from about 70° to 90°, with an angle of about 75° to 85°, being preferred.

The side surfaces may also comprise insets 22A and 22B for use in receiving other means which secure and align the blocks during placement. In accordance with one embodiment 10 of the invention, the insets may extend from the block top surface 10 to the block bottom surface 8. Further, these insets may be angled across the height of the block to provide a structure which gradually sets back over the height of the wall. When mated with protrusions 26, the 15 insets may also be angled to provide a retaining wall which is substantially vertical.

The angle and size of the insets may be varied in accordance with the invention. However, the area of the inset adjacent the block bottom surface 8 should be 20 approximately the same area as, or only slightly larger than, protrusion 26 with which it will mate. The area of the insets adjacent the block top surface 10 is preferably larger than the protrusion 26 by a factor of 5% or more and preferably about 1% to 2% or more. This will allow for 25 adequate movement in the interfitting of blocks in any structure as well as allowing blocks of higher subsequent

courses to setback slightly in the retaining structure. Further, by varying the size and position of the inset relative to protrusion 26, the set back of the wall may be varied. In effect, the protrusion 26 may be positioned in  
5 any location on the block which facilitates interlocking or mating with an adjacently positioned block. Further, by varying the position of the protrusion within an inset of greater relative size the set back of a retaining structure may be varied in the structure. For example, by pulling  
10 the blocks forward as far as possible a setback may be attained in the wall. The set back may vary depending upon any number of factors including protrusion size, core area, and the position of either of these two features on the block, among other factors. A set back of 0" to 2",  
15 preferably  $\frac{1}{4}$ " to  $\frac{3}{4}$ ", and most preferably  $\frac{1}{2}$ " has been generally found to work in designing retaining structures. Hereagain, movement forward and backward is the movement of protrusion 26 within the confines of insets 22A and 22B.

Generally, the top 10 and bottom 8 surfaces of the  
20 block function similarly to the side surfaces of the block. The top 10 and bottom 8 surfaces of the block serve to define the structure of the block as well as assisting in the aligned positioning of the block in any given retaining structure. To this end, the top and bottom surfaces of the  
25 block are generally flat or planar surfaces.

Preferably, as can be seen in Figs. 1-6, 9-11, and 13-16, either the top or bottom surface comprises a protrusion 26. The protrusion functions in concert with the insets 22A and 22B to secure the blocks in place when positioned in series or together on a retaining structure by aligning the protrusions 26 within the given insets. To this end, the protrusions 26 may be positioned anywhere on the block which will facilitate the mating of the protrusions 26 with insets 22A and 22B. While the protrusions may take any number of shapes, they preferably have a kidney or dogbone shape.

As can be seen in Figs. 1-6, Figs. 9-11, and Figs 13-14, the protrusion may comprise two circular or oblong sections which are joined across their middle by a narrower section of the same height. The central narrow portion in the protrusion 26 (Figs. 1-6) allows for orientation of the blocks to provide inner curving and outer curving walls by the aligned seating and the relative rotation of the protrusion 26 within, and in relationship to, any block inset 22A or 22B. In turn, the larger surface area of the dogbone naturally gives this protrusion greater strength against forces which otherwise could create movement among individual wall blocks or fracture of this element of the block.

Generally, the protrusions may comprise formed nodules or bars having a height ranging from about  $\frac{1}{4}$  inch to 1

inch, and preferably about 1/2 inch to 5/8 inch. The width or diameter of the protrusions may range from about 1 inch to 3 inches, and preferably about 1-1/2 inches to 2-1/2 inches. In shipping, the protrusions may be protected by  
5 stacking the blocks in inverted fashion, thereby nesting the protrusions within opening 30.

Generally, the protrusions 26 and insets 22A and 22B may be used with any number of other means which function to assist in securing the retaining wall against fill.

10 Such devices include tie backs, deadheads, as well as web matrices such as GEOGRID™ available from Mirafi Corp. or GEOMET™ available from Amoco.

The back surface 18 of the block generally functions in defining the shape of the block, aligning the block as  
15 an element of any retaining structure, as well as retaining earth or fill. To this end, the back surface of the block may take any shape consistent with these functions.

Various embodiments of the block back surface can be seen in Figs. 1-6, 9-11, and 13-14. In accordance with the  
20 invention, the back surface may preferably be planar and have surfaces 28A and 28B which extend beyond the side surfaces of the block. In order to make the block more portable and easily handled, the block may be molded with any number of openings including central opening 30. This  
25 central opening 30 in the block allows for a reduction of weight during molding. Further, these openings allow for



the block to be filled with earth or other product such as stone, gravel, rock, and the like which allows for an increase in the effective mass of the block per square foot of front surface. One or more openings may also be formed in the front portion of the blocks as can be seen by openings 34 and 36, Figs. 9-11. Additional fill may be introduced into openings 30, 34, and 36 as well as the openings formed between surfaces 28A and 28B and adjacent side walls 14 and 16, respectively.

In use, a series of blocks are preferably placed adjacent each other, forming a series of fillable cavities. Each block preferably has a central cavity 30 for filling as well as a second cavity formed between any two adjacently positioned blocks. This second cavity is formed by opposing side walls 14 and 16, and adjacently positioned back surfaces 28A and 28B. This second cavity, formed in the retaining structure by the two adjacent blocks, holds fill and further increases the mass or actual density of any given block structure per square foot of front surface area. The block cavity 30 may preferably also provide an opening for a protrusion from an adjacently positioned block with which to mate.

Generally, an unfilled block (Figs. 1, 4 and 13), may weigh from about 95 to 155 pounds, preferably from about 100 to 125 pounds per square foot of front surface. Once filled, the block mass will vary depending upon the fill

used but preferably the block may retain a mass of about 140 to 180 pounds, and preferably about 150 to 175 pounds per square foot of front surface when using rock fill such as gravel or class 5 road base.

5 Two alternative preferred embodiments of the invention can be seen in Figs. 9-11. First, as can be seen in Fig. 9, there is depicted a block having cavities 34 and 36 for accepting fill. Further, this block also has sidewall insets 22A and 22B and a protrusion for complimentary  
10 stacking with the blocks shown in Figs. 1-6, Figs. 10-11, or Figs. 13-14. Consistent with the other embodiments of the block disclosed herein, this block allows for finishing walls having base courses of larger heavier blocks with blocks which are smaller, lighter and easier to stack on  
15 the higher or highest courses. While not required, the block depicted in Figs. 1-6, 10-11, and 13 may be larger in dimension than the block of Fig. 9 from the front surface to back surface allowing for the construction of a structure such as that shown in Fig. 12. Further, the use  
20 of the dogbone shaped protrusion 26 allows for retention of these blocks in an interlocking fashion with the blocks of lower courses to form a wall of high structural integrity, (see Fig. 12).

The blocks depicted in Figs. 9 and 14 may weigh from  
25 about 60 to 100 pounds, preferably from about 75 to 95 pounds, and most preferably from about 80 to 90 pounds,

(

with the filled block mass varying from about 90 to 130 pounds, preferably from about 95 to 125 pounds, and most preferably from about 105 to 115 pounds per square foot of front surface using rock fill such as gravel or class 5 road base.

Another alternative embodiment of the block of the invention can be seen in Figs. 10, 11, 13 and 14. As can be seen, the block depicted in Figs. 10, 11, 13 and 14 has angled first and second legs 24A and 24B, respectively, as well as an angled back wall sections, 18, 18A, and 18B.

The resulting back surfaces 28A and 28B, (Fig. 11 and 13), have a reduced angle alpha which increases the structural integrity of the wall by increasing the walls resistance to blow out. The angled back surfaces 28A and 28B provide a natural static force which resist the pressure exerted by the angle of repose of fill on any given retaining structure. The angled back surfaces 28A and 28B may be anchored in fill placed between adjacent blocks. Any force attempting to move this block forward, will have to also confront the resistance created by the forward angled back legs moving into adjacently positioned fill or, if the base course, the ground beneath the wall.

The use of angled back walls also facilitates manufacture of the blocks of the invention. Specifically, the angled back sides 28A and 28B assist in allowing the conveying of blocks once they have been compressed, formed,

and cured. Generally, the proximity of the blocks on the  
conveyer may lead to physical contact. If this contact  
occurs at a high speed, the blocks may be physically  
damaged. Also, the use of a conveyer which turns on curves  
5 in the course of transporting may naturally lead to contact  
between blocks and damage. Angling the back side legs 24A  
and 24B allows easier and more versatile conveyer transport  
and strengthens the back side legs.

Angling the back sides of the block also assists in  
10 the formation of a cell when two blocks are placed adjacent  
to each other in the same plane. This cell may be used to  
contain any assortment of fill including gravel, sand, or  
even concrete. The design of the block of the invention  
allows the staggered or offset positioning of blocks when  
15 building a retaining wall structure. The internal opening  
30 of the blocks depicted in Figs. 1-6, 10-11, and 13 may  
be used in conjunction with the cells created by the  
adjacent blocks to create a network of channels for the  
deposition of fill. Specifically, with the offset  
20 placement blocks from one course to the next, the opening  
30 of a second course block may be placed over a cell  
created by two blocks positioned adjacent each other in the  
first course. Thus, opening 30 in second course block is  
aligned with a cell in the next lower course and this cell  
25 may be filled by introducing gravel, sand, etc. into the  
opening in the second course block. The addition of

further courses allows the formation of a series of vertical channels across the retaining structure, (see Fig. 7).

From the axis created by back wall 18, the back legs 24A and 24B may angle towards the front surface of the block ranging from about 5 degrees to 20 degrees, preferably about 7 degrees to 15 degrees, and most preferably about 10 degrees to 12 degrees, (Figs. 11 and 13). The angle beta (Fig. 11) may generally range from about 60 to 80 degrees, preferably about 60 to 75 degrees, and most preferably about 65 to 70 degrees. Further, this block (Figs. 10 and 11) may vary in weight from about 100 to 150 pounds, preferably about 110 to 140 pounds, and most preferably from about 115 to 125 pounds, with the filled block mass varying from about 210 to 265 pounds, preferably from about 220 to 255 pounds, and most preferably from about 225 to 240 pounds per square foot of front surface using rock fill such as gravel or class 5 road base.

A further alternative embodiment of the invention may be seen in Figures 13-16. When constructing structures such as those seen in Figures 7 and 8, as well as Figure 12, (for example a retaining wall), several concerns may arise depending upon the dimensions of the block, length and height of the structure, environmental conditions including the nature of the fill used behind the wall as well as the environment in which the wall is placed

including landscape geography, weather, etc. Additionally,  
depending upon the block manufacturing process used,  
certain concerns with the dimensions of the block as well  
as the various protrusions, openings, and associated block  
5 features, may also be raised.

Specifically, when constructing the landscape  
structure such as that shown in Figure 8, the structure is  
generally assembled one course at a time while the  
appropriate fill is placed behind the wall. Once complete,  
10 the pressure on the wall will tend to force blocks of each  
subsequently higher course outward towards the front of the  
wall. The interlocking nature of the protrusion 26 and  
insets, 22A and 22B, will generally resist the movement  
between the blocks of any two given courses.

15 The structural integrity of a composite masonry block  
structure generally comes from the coefficient of friction  
between the blocks of adjacent courses, the footprint of  
the blocks used in the structure, as well as the nature of  
the protrusion 26. Generally, the protrusion functions to  
20 secure the block on which it is placed or the blocks of the  
next adjacent course by interfitting with insets 22A and  
22B. By using a protrusion having angled sidewalls, the  
tendency for blocks to push forward out from the wall due  
to physical stresses is substantially reduced. Further, we  
25 have also found that by using a protrusion having sidewalls

of varying angles, manufacturing may be streamlined and efficiency increased.

Figures 13 and 14 depicts composite masonry blocks which are similar in design to those shown in Figures 9-11.

5 These blocks comprise openings 30 and 35 as well as a front face 12 which may be faceted (see Figure 13 with dotted lines depicting surfaces 12A and 12B), or unfaceted, as surface 12 (see also Figure 13).

As will be seen, the mold used in accordance with the  
10 invention may provide for various break point 19, in the various surfaces of the block. These break points may be used, for example, to remove block legs 24A and 24B, or define front faceted surfaces 12A, 12B and 12'. These blocks provide insets, 22A and 22B, as well as, a  
15 protrusion 26 which may span a portion of the upper surface 10 of the block and may boarder the insets 22A and 22B.

The blocks of Figures 13 and 14 may also comprise a tag 35'. Tag 35' functions to provide any observer with a perception of a more complete and solid view when the  
20 blocks of the invention are used to make outer curing walls. Use of tag 35' tends to cover any opening which may occur as the blocks are angled to a greater degree and higher courses do not cover opening 35 completely.

Generally, as can be seen in Figures 13 and 14, the  
25 protrusion can have four sides. The angle on each of these four sides may vary in accordance with the invention to

provide for a more secure placement of blocks as well as ease in processing. Side 26A may generally be found adjacent opening 35. Protrusion side 26B may generally be found adjacent opening 30. In turn, sides 26C generally  
5 may be found adjacent insets 22A and 22B.

With the understanding that the block of the invention may be used in any number of structural configurations, an additional view of the protrusion of the invention may be seen in Figure 15 in accordance with a preferred aspect of  
10 the invention. As can be seen, protrusion 26 generally has visible three sidewalls, 26A and 26B which are adjoined by 26C, in this view. In this instance, protrusion 26 sidewall 26B is a position towards the block back 18 and is angled so as to provide an adequate stopping or nesting  
15 mechanism to prevent any block, placed immediately adjacent it, from moving forward when stacked in an interlocking form, i.e. by interlocking the protrusion of one block with the insets of an immediately adjacent second block.

Further, by changing the incline of protrusion surface  
20 26A so as to lessen the angle between the upper surface 10 of the block and protrusion surface 26A (or away from vertical), the protrusion may be formed more easily during block molding. Reducing the angle of surface 26A from vertical allows the application and release of the heated  
25 stripper shoe in a manner which lowers the potential for retaining fill within the heated stripper shoe indentation,



(see Figure 17A at 79). Hereagain, the positioning of protrusion surfaces 26A and 26B may depend upon how the block is to be used, with protrusion surface 26B positioned to resist the forward movement of subsequent courses of blocks and surface 26A positioned to facilitate manufacture of the block but not compromise the structural integrity of, for example, the resulting wall.

An enlarged cross-sectional view of protrusion 26 can be seen in Figure 16. Protrusion surface 26B generally has an angle  $\delta$  in relationship to vertical as shown by axis  $x-x'$ . Protrusion surface 26A also has an angle  $\theta$  in relationship to vertical as shown by access  $z-z'$ . Angle  $\delta$  generally provides the greatest resistance towards displacement of a block on an adjacent course. Further, in order to ease manufacture, protrusion surface 26A will generally have an angle  $\theta$  which allows ease of manufacture which prevents fill from adhering from the underside of the heated stripper shoe.

As can be seen in Figs. 13 and 14, the protrusion 26 may have a straight front surface, and symmetrical opposing bulb-shaped side portions. The back surface 26B of the protrusion may comprise an indentation 27 which allows for the angled orientation of blocks of preceding or subsequently layed courses. As with all other embodiments of the protrusion, the side walls are angled to ease manufacture and avoid displacement between blocks of

various courses. The angles theta and delta are preferably both at least about  $20^\circ$ , or greater, when measured from vertical (with horizontal measured as an angle  $90^\circ$  from vertical). More preferably, angles delta and theta vary  
5 from about  $19^\circ$  to  $21^\circ$  from vertical, and most preferably, angles delta and theta are about  $20^\circ$  from vertical. Use of an angle for both theta and delta of at least this magnitude allows optimal efficiency in manufacture while retaining the greatest structural integrity. In this  
10 context, protrusion side walls 26A, 26B, and 26C, all have substantially the same angle.

Hereagain, as one of skill in the art will realize from reading this application, the orientation of protrusion surfaces 26A and 26B may vary depending upon the  
15 structure of the block in the manner in which the block is used in, in overall landscape structure.

In use, protrusion 26 may span from inset 22A to inset 22B across a portion of the top or bottom surface of the block. Generally, and according to this aspect of the  
20 invention, as shown in Figs. 13-16 the protrusion will have a height ranging from one-quarter inch to three-quarter inches and preferably from about three-eighth inches to one-half inches. The overall width of the protrusion from surface 26A to 26B will generally range from about 1 inch  
25 to 4 inches, preferably about 2 to 3 inches, and most preferably about 2 and  $1/2$  inches between protrusion

surface 26A and 26B. Hereagain, one of skill in the art will understand, having read this specification, how these ranges may be changed or otherwise altered, but still within the scope of the invention.

5 While all of the blocks depicted herein may be made in varying scales, the following table provides general guidelines on size.

**TABLE 1**

10	<u>BLOCKS OF FIGS. 1-6</u>	<u>General</u>	<u>Preferred</u>	<u>Most Preferred</u>
15	front to back top to bottom side to side*	12-30" 4-12" 12-30"	15-28" 5-10" 15-25"	20-25" 6-10" 15-20"
20	<u>BLOCK OF FIG. 9</u>			
25	front to back top to bottom side to side*	6-24" 4-12" 12-30"	8-15" 5-10" 15-25"	10-12" 6-10" 15-20"
30	<u>BLOCK OF FIGS. 10-11 and 13-16</u>			
35	front to back top to bottom side to side*	12-30" 4-12" 12-30"	15-28" 5-10" 15-25"	20-25" 6-10" 15-20"

\* block at its greatest dimension on an axis perpendicular to front surface.

### Block Structures

The composite masonry block 5 of the invention may be used to build any number of landscape structures. Examples of the structures which may be constructed with the block 5 of the present invention are seen in Figs. 7-8. As can be seen in Fig. 7, the composite masonry block of the invention may be used to build a retaining wall 10 using individual courses or rows of blocks to construct a wall to any desired height.

10 Generally, construction of a structure such as a retaining wall 10 may be undertaken by first defining a trench area beneath the plane of the ground in which to deposit the first course of blocks. Once defined, the trench is partially refilled and tamped or flattened. The  
15 first course of blocks is then laid into the trench. Successive courses of blocks are then stacked on top of preceding courses while backfilling the wall with soil.

The blocks of the present invention also allow for the production of serpentine walls. The blocks may be placed  
20 at an angle in relationship to one another so as to provide a serpentine pattern having convex and concave surfaces. If the desired structure is to be inwardly curving, blocks of the invention may be positioned adjacent each other by reducing either surface 28A or 28B on one or both blocks.  
25 Such a reduction may be completed by striking leg 24A or 24B with a chisel adjacent deflection 19, see Figs. 1 and

4. Deflection 19 is preferably positioned on the block back surface 18 to allow reduction of the appropriate back surface leg (24A or 24B) while retaining enough potential open area for filling between blocks. Structures made from composite masonry blocks are disclosed in commonly assigned U.S. Patent No. 5,062,610, issued November 5, 1991 to Woolford et al which is incorporated herein by reference.

While designed for use without supporting devices, a supporting matrix may be used to anchor the blocks in the earth fill behind the wall. One advantage of the block of the invention is that despite the absence of pins, the distortion created by the block protrusions 26 when mated with insets 22A or 22B anchors the matrix when pressed between two adjacent blocks of different courses.

Further, the complementary design of the blocks of the invention allow the use of blocks 40 such as those depicted in Figs. 1-6, 10-11, and 19 with blocks 42 which are shorter in length in the construction retaining wall structures, (Fig. 12). Tie-backs, deadheads, and web matrices may all be used to secure the retaining wall structure 46 in place. The generally large pound per square-foot front area of the blocks depicted herein allows blocks such as those depicted in Figs. 1-6, 10-11, and 19 to be used in the base courses with blocks such as those depicted in Fig. 9 used in the upper courses. In turn, the design of all the blocks disclosed herein allows the use

retaining means such as geometric matrices (i.e., webs), deadheads and tie backs without pins. Such securing means may be useful in anchoring the smaller blocks in place when used, for example, towards the upper portion of the

5 retaining structure.

The invention also comprises a heated stripper shoe, a heated stripper shoe/mold assembly and a method of forming concrete masonry blocks with the shoe and mold assembly.

The stripper shoe and mold assembly generally includes  
10 those elements disclosed in earlier incorporated U.S. Patent No. 5,062,610, and U.S. Patent Application No. 5,249,950, issued October 5, 1993 to Woolford, which are both incorporated herein by reference. As can be seen in Figures 17A and 17B there is provided a stripper shoe plate  
15 70, having a lower side 75 and an upper side 77, Fig. 17A. The stripper shoe plate 70 may have indentations to form block details such as those shown at 79 on the shoe lower side 75, (see also 26 at Figs. 1 and 4). Heat elements may be positioned on the stripper shoe plate upper side 77  
20 within a heat shroud 80. The stripper shoe plate may comprise any number of pieces to allow for manufacture using core elements 62A, and 62B, for example. Openings 76A through 76D define points of separation for the shoe plate pieces or elements.

25 Positioned over the heat elements on the upper surface of the shoe plate is a heat shroud 80. The heat shroud

lower side is configured to cover the heat elements. Once the heat shroud 80 is positioned over the upper surface 77 of the stripper shoe plate 70, wiring for the heat elements may be passed through the heat shroud 80 and further into  
5 the head assembly 90.

The assembly may also comprise a standoff 90 which attaches the assembly to the block machine head 95. The standoff 90 is capable of spacing the stripper shoe plate 70 appropriately in the block machine and insulating the  
10 head from the heat developed at the surface of the stripper shoe plate 70.

The assembly also comprises a mold 50 having an interior perimeter designed to complement the outer perimeter of the stripper shoe plate 70, Fig. 17B. The  
15 mold generally has an open center 63 bordered by the mold walls.

Positioned beneath the mold is a pallet (not shown) used to contain the concrete fill in the mold and transport finished blocks from the molding machine.

20 The stripper shoe 70 serves as a substrate on which the heat elements 78 are contained. Further, the stripper shoe plate 70 also functions to form the body of the block as well as detail in the blocks through indentations 79 in the stripper shoe lower surface 75. In use, the stripper  
25 shoe 70 functions to compress fill positioned in the mold and, once formed, push or strip the block from the mold 50.

The stripper shoe plate 70 may take any number of designs or forms including ornamentation or structural features consistent with the block to be formed within the mold. Any number of steel alloys may be used in  
5 fabrication of the stripper shoe as long as these steel alloys have sufficient resilience and hardness to resist abrasives often used in concrete fill. Preferably, the stripper shoe 70 is made from steel alloys which will resist continued compression and maintain machine  
10 tolerances while also transmitting heat from the heat elements through the plate 70 to the fill. In this manner, the total thermal effect of the heat elements is realized within the concrete mix.

Preferably, the stripper shoe plate 70 is made from a  
15 carbonized steel which may further be heat treated after forging. Preferred metals include steel alloys having a Rockwell "C"-Scale rating from about 60-65 which provide optimal wear resistance and the preferred rigidity. Generally, metals also found useful include high grade  
20 carbon steel of 41-40 AISI (high nickel content, prehardened steel), carbon steel 40-50 (having added nickel) and the like. A preferred material includes carbon steel having a structural ASTM of A36. Preferred steels also include A513 or A500 tubing, ASTM 42-40 (prehardened  
25 on a Rockwell C Scale to 20 thousandths of an inch). The stripper shoe plate 70 may be formed and attached to the



head assembly by any number of processes known to those of skill in the art including the nut, washer, and bolt mechanisms known to those of skill in the art.

One preferred heated stripper shoe design which  
5 complements the block mold is shown in Fig. 17A. The  
stripper shoe comprises a first section 72A, a second  
section 74B, and a third section 72C. The second section  
72A has indentations 79 on the shoe lower side 75. A heat  
element is positioned over indentation 79. The outer  
10 perimeter of the stripper shoe 70 may generally complement  
the interior outline of the mold 50. Heat elements are  
preferably positioned adjacent to indentation 79 on the  
shoe lower side 75 to facilitate the formation of that  
point of detail created by the indentations 79 in the  
15 stripper shoe 70. While generally shown with one form of  
indentation 79, the stripper shoe plate 70 may be capable  
of forming any number of designs through indentations in  
the shoe plate lower surface 75 with the indentation  
matching the point of detail, such as protrusion 26.  
20 The invention may also comprise one or more heat  
elements, (not shown). Generally, the heat element  
functions to generate and transmit radiant energy to the  
upper surface 77 of the stripper shoe 70. The heat  
elements are preferably positioned adjacent indentation 79  
25 in the shoe plate lower surface 75.

Generally, any type and quantity of heat elements may be used in accordance with the invention. However, preferred heat elements have been found to be those which will withstand the heavy vibration, dirt and dust common in this environment. Preferred heat elements are those which are easily introduced and removed from the system. This allows for easy servicing of the stripper shoe assembly without concerns for injury to the operator through thermal exposure or complete disassembly of mold 50, stripper shoe 70, shroud 80, and standoff 90.

The heat element may comprise any number of electrical resistance elements which may be, for example, hard wired, solid state, or semiconductor circuitry, among others. The heat element may generally be positioned over indentations 79 in the stripper shoe lower surface 75, Fig. 13A. By this positioning, the heat element 78 is able to apply heat to the stripper shoe 70 in the area where it is most needed, that is, where the block detail (in this case, protrusion 26, see Fig. 1) is formed in the concrete mix held by the mold.

The heat element may comprise any number of commercially available elements. Generally, the power provided by the heat element may range anywhere from 300 watts up to that required by the given application. Preferably, the power requirements of the heat element may range from about 400 watts to 1500 watts, more preferably

450 watts to 750 watts, and most preferably about 600 watts. Power may be provided to the heat elements by any number of power sources including for example, 110 volt sources equipped with 20 to 25 amp circuit breakers which  
5 allow the assembly to run off of normal residential current. If available, the assembly may also run off of power sources such as 3-phase, 220 volt sources equipped with 50 amp circuit breakers or other power sources known to those of skill in the art. However, the otherwise low  
10 power requirements of the assembly allow use in any environment with minimal power supplies. In one system used to make the blocks of the invention, two heating elements, (each 550 volts and a 20 amp breaker) are used to make the block of Figure 13. Four heating elements, (also  
15 550 volts each) are used to make pairs of the block depicted in Figure 14.

Elements found useful in the invention include cartridge heaters, available from Vulcan Electric Company, through distributor such as Granger Industrial Co. of  
20 Minnesota. These elements have all been found to provide easy assembly and disassembly in the stripper shoe of the invention as well as good tolerance to vibration, dirt, dust, and other stresses encountered in such an environment.

25 Generally, the heat elements may be activated by hard wiring as well as any other variety of electrical feeds

known to those of skill in the art. If hard wiring is used, provision may be made to circulate this wiring through the shroud 80 and standoff 90 by various openings 88. The heat element may be externally controlled through  
5 any number of digital or analogue mechanisms known to those of skill in the art located at an external point on the block machine.

Heating the stripper shoe elements allows the formation of block detail such as indentations or  
10 protrusions, or combinations thereof without the fouling of the shoe plate 70. Detail is essentially formed by case hardening the concrete fill adjacent the element. This allows the formation of block detail which is both ornate and has a high degree of structural integrity.

15 The invention may also comprise means of attaching the heat element to the stripper shoe 70 such as a heat block. Examples of attachment means for the heat elements 76 may again be seen in commonly assigned U.S. Patent No. 5,249,950, issued October 5, 1993 to Woolford et al and  
20 incorporated herein by reference.

The stripper shoe may also comprise a heat shroud 80 (shown in outline), Fig. 17A, which thermally shields or insulates the heat elements and molding machine. The heat shroud 80 also functions to focus the heat generated by the  
25 heat elements back onto the stripper shoe 70.

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The heat shroud 80 may take any number of shapes of varying size in accordance with the invention. The heat shroud 80 should preferably contain the heat elements. To this end, the heat shroud 80 preferably has a void formed  
5 within its volume so that it may be placed over the heat elements positioned on the upper surface 77 of the stripper shoe 70. At the same time, the shroud 80 is preferably positioned flush with the stripper shoe upper surface 77.

Preferably, there is a space between the upper surface  
10 of the heat element and the opening or void in the heat shroud 80. Air in this additional space also serves to insulate the standoff and mold machine from the heat created by the heat element.

Generally, the heat shroud 80 may comprise any metal  
15 alloy insulative to heat or which is a poor conductor of thermal energy. Metal alloys such as brass, copper, or composites thereof are all useful in forming the heat shroud 80. Also useful are aluminum and its oxides and alloys. Alloys and oxides of aluminum are preferred in the  
20 formation of the heat shroud 80 due to the ready commercial availability of these compounds. Aluminum alloys having an ASTM rating of 6061-T6 and 6063-T52 are generally preferred over elemental aluminum.

The assembly may additionally comprise a head standoff  
25 90, attached to the stripper shoe plate 70, to position,

aid in compression, and attach the head assembly to the block machine.

Generally, the head standoff 90 may comprise any number of designs to assist and serve this purpose. The  
5 head standoff may also be used to contain and store various wiring or other elements of the stripper shoe assembly which are not easily housed either on the stripper shoe 70, or the heat shroud 80.

The head standoff 90 may comprise any number of metal  
10 alloys which will withstand the environmental stresses of block molded processes. Preferred metals include steel alloys having a Rockwell "C"-Scale rating from about 60-65 which provide optimal wear resistance and the preferred rigidity.

15 Generally, metals found useful in the manufacture of the head standoff mold of the present invention include high grade carbon steel of 41-40 AISI (high nickel content, prehardened steel), carbon steel 40-50 (having added nickel) and the like. Another material includes carbon  
20 steel having a structural ASTM of A36. Generally, the head standoff 50 may be made through any number of mechanisms known to those of skill in the art.

The assembly may also comprise a mold 50. The mold generally functions to facilitate the formation of the  
25 blocks. Accordingly, the mold may comprise any material which will withstand the pressure to be applied to the

block filled by the head. Metal such as steel alloys having a Rockwell "C"-Scale rating from about 60-65 which provide wear resistance and rigidity. Generally, other metals found useful in the manufacture of the mold of the present invention include high grade carbon steel of 41-40 AISI (high nickel content, prehardened steel), carbon steel 40-50 (having added nickel) and the like. Another material useful in this context includes carbon steel having a structural ASTM of A36. Useful materials may also include materials which have been treated or coated to increase hardness with any variety of materials.

Mold 50 useful in the invention may take any number of shapes depending on the shape of the block to be formed and be made by any number of means known to those of skill in the art. Generally, the mold is produced by cutting the steel stock, patterning the cut steel, providing an initial weld to the pattern mold pieces and heat treating the mold. Heat treating generally may take place at temperatures ranging from about 1000°F to about 1400°F from 4 to 10 hours depending on the ability of the steel to withstand processing and not distort or warp. After heat treating, final welds are then applied to the pieces of the mold.

Turning to the individual elements of the mold, the mold walls generally function according to their form by withstanding the pressure created by the block machine. Further, the walls measure the height and the depth of

resulting blocks. The mold walls must be made of a thickness which will accommodate the processing parameters of the block formation given a specific mold composition.

Generally, as can be seen in Fig. 17B, the mold  
5 comprises a front surface 52, back surface 54, as well as a first side surface 51, and a second side surface 58. As noted, each of these surfaces function to hold fill within a contained area during compression, thus resulting in the formation of a block. Accordingly, each of these mold  
10 surfaces may take a shape consistent with this function.

The mold side walls, 51 and 58, may also take any shape in accordance with the function of the mold. Preferably, the side walls each comprise an extension 64 which are useful in forming the insets 22A and 22B in the  
15 block of the invention, see Fig. 1. In order to form insets 22A and 22B in the block of the invention, extension 64 may have a dimension which is fairly regular over the depth of the mold.

However, if insets 22A and 22B are required which have  
20 a conical shape as seen in Figs. 2 and 5, the extensions may be formed to have a width at the top of the mold which is greater than the width of the extension at the bottom of the mold. This will result in the insets 22A and 22B which are seen in the various embodiments of the block of the  
25 invention shown in Figs. 1-6, 9-11, and 13-16 while also



allowing stripping of the block from the mold 50 during processing.

The mold may preferably also comprise one or more support bars 60A-60C and core forms 62A and 62B. The  
5 support bars 60A-60C hold the core forms 62A and 62B in place within the mold cavity 63. Here again, the support bars may take any shape, size, or material composition which provides for these functions.

As can be seen more clearly in Fig. 17B, support bars  
10 60A-60C are preferably long enough to span the width of the mold 50 resting on opposing side walls 51 and 59. The support bars 60A-60C functions to hold cores 62A and 62B within the mold central opening 63. Complementing this function, the support bars 60A-60C are generally positioned  
15 in the central area 63B of the opposing side walls 51 and 58. In turn, core form 62A may be held in place by support bar 60A and positioned generally in the central area 63A between the opposing sidewalls 51 and 58. The support bars 60A-60C may be held in place by a mold top plate 85 by  
20 inserting support bar end portions, such as for example 60A' into and through the top plate. The use of these various support structures reduces core form vibration during the molding process.

As can be seen in the outline on Fig. 17B, the core  
25 forms 62A and 62B are supported by bars 60A-60C which span the width of the mold 50 resting through the mold top plate

onto the opposing side walls 51 and 58. The core forms have any number of functions. The core forms 62A and 62B act to form voids in the resulting composite masonry block. In turn, the core forms lighten the blocks, reduce the amount of fill necessary to make a block, and add to the portability and handleability of the blocks to assist in transport and placement of the blocks.

Also preferred as can be seen in the view provided in Fig. 17B, the core form 62A is affixed to the support bar 60A. As can be seen, the support bars 60A-60C projects upwards from mold 50. As a result, the stripper shoe 70 and stand off 80 may be partitioned or split, (at 76A-76D), as can be seen in Fig. 17A. The separate sections of the shoe 70 and stand off will allow adequate compression of the fill without obstruction by the support bars 60A and 60C. In turn, the various sections of the stripper shoe 70 and stand off 90 may be held in place by the head 95.

While the mold of the invention may be assembled through any number of means, one manner is that shown in Fig. 17B. Preferably, the mold is held in place by two outer beams 55 and 56, each of which have an interior indentation, 61 and 67, respectively. As can be seen, bolt elements 57 may be fit into the front wall 52 and back wall 54 of the mold 50. The side walls 51 and 58 of the mold may be held in the outer beams of the mold by nut plates 65 sized to fit in indentations 61 and 67. In turn the nut

plates 65 may be held within the outer beam indentations 61 by bolt means 53. In this manner, the mold 50 may be held in place even though constructed of a number of pieces. As one of skill in the art will recognize having read this specification any number of extension sections, see for example 68 in Figure 17B, may be used in accordance with the insertion. These extensions may be used to create any number of effects, such as, for example break out points in the blocks by flange 66. Additionally, the extension units 68 may be used to create faceting in the front surface 12 of the block or vary the angle of the block sides 14 or 16 in front or behind the cores 22A and 22B. Changing the angle of the block sides 14 and 16 may be completed to facilitate the molding of a block which is useful in making inner and outer curving retaining structures. The same alteration in shape and surface angle may be effected through mold extension pieces 68 with any of the blocks of the invention.

An additional aspect of the present invention is the process for casting or forming the composite masonry blocks of this invention using a masonry block mold assembly, Figs. 13A and 13B. Generally, the process for making this invention includes block molding the composite masonry block by filling a block mold with mix and casting the block by compressing the mix in the mold through the application of pressure to the exposed mix at the open

upper end of the block mold. An outline of the process can be seen in the flow chart shown in Fig. 18.

In operation, the assembly is generally positioned in the block molding machine atop of a removable or slidable  
5 pallet (not shown). The mold 50 is then loaded with block mix or fill. As configured in Figs. 17A and 17B, the mold 50 is set to form one block. Once formed and cured, these blocks may be split along the deflections created by  
flanges 66 which may be positioned on the interior of  
10 sidewalls of the mold. Prior to compression, the upper surface of the mold is vibrated to settle the fill and scraped or raked with the feed box drawer (not shown) to remove any excess fill. The mold is then subjected to  
compression directly by the stripper shoe 70 through head  
15 assembly.

Upon compression, the stripper shoe 70 forces block fill towards either end of the mold and into the stripper shoe indentation 79 to create a protrusion 26 in the formed block, see Fig. 1. This indentation may range in size for  
20 example from about 1 to 3 inches, preferably about 1-1/2 to 2-1/2 inches, and most preferably about 1-3/4 to 2 inches.

In accordance with the invention, this indentation 79 is heated by elements so that protrusions 26 of minimal size and varying shape may be formed without the build up  
25 of fill on the stripper shoe 70 at indentation 79. By

doing so, the assembly may be used in the automatic manufacture of blocks by machine.

Blocks may be designed around any number of different physical properties in accordance with ASTM Standards  
5 depending upon the ultimate application for the block. For example, the fill may comprise from 75 to 95% aggregate being sand and gravel in varying ratios depending upon the physical characteristics which the finished block is intended to exhibit. The fill generally also comprises  
10 some type of cement at a concentration ranging from 5% to 15%. Other constituents may then be added to the fill at various trace levels in order to provide blocks having the intended physical characteristics.

Generally, the fill or mix may be formulated in any  
15 variety of ways with any variety of constituents as known to those of skill in the art. In one exemplary manner, fill constituents may be mixed by combining the aggregate, the sand and rock in the mixer followed by the cement. After one to two and one-half minutes, any plasticizers  
20 that will be used are added. Water is then introduced into the fill in pulses over a one to two minute period. The concentration of water in the mix may be monitored electrically by noting the electrical resistance of the mix at various times during the process. While the amount of  
25 water may vary from one fill formulation to another fill formulation, it generally ranges from about 1% to about 6%.

Once the mold has been filled, leveled by means such as a feed box drawer, and agitated, a compression mechanism such as a head carrying the assembly converges on the exposed surface of the fill. Levelling may be completed by  
5 means such as a strike off bar (not shown) which removes excess fill before molding through a screeding action across the top of the mold from side to side. The strike off bar may allow for the design of mold and any detail to be created in the resulting block. For example, the strike  
10 off bar may be notched to allow for support bars 60A-60C or may be patterned to allow for the deposition or more fill in the area of the mold in which the block protrusion 26 (for example) is formed. The stripper shoe assembly 30 acts to compress the fill within the mold for a period of  
15 time sufficient to form a solid contiguous product. Generally, the compression time may be anywhere from 0.5 to 4 seconds and more preferably about 1.5 to 2 seconds. The compression pressure applied to the head ranges from about 1000 to about 8000 psi and preferably is about 4000 psi.

20 Once the compression period is over, the stripper shoe 70 in combination with the underlying pallet acts to strip the blocks from the mold 50. At this point in time the blocks are formed. Any block machine known to those of skill in the art may be used in accordance with the  
25 invention. One machine which has been found useful in the formation of blocks is a Besser V-3/12 block machine.

Generally, during or prior to compression the mold may be vibrated. The fill is transported from the mixer to a hopper which then fills the mold 50. The mold is then agitated for up to 2 to 3 seconds, the time necessary to 5 ensure the fill has uniformly spread throughout the mold. The blocks are then formed by compressive action by the compressive action the head. Additionally, this vibrating may occur in concert with the compressive action of the head onto the fill in the mold. At this time, the mold 10 will be vibrated for the time in which the head is compressed onto the fill.

Once the blocks are formed, they may be cured through any means known to those with skill in the art. Curing mechanisms such as simple air curing, autoclaving, steam 15 curing or mist curing, are all useful methods of curing the block of the present invention. Air curing simply entails placing the blocks in an environment where they will be cured by open air over time. Autoclaving entails placing the blocks in a pressurized chamber at an elevated 20 temperature for a certain period of time. The pressure in the chamber is then increased by creating a steady mist in the chamber. After curing is complete, the pressure is released from the chamber which in turns draws the moisture from the blocks.

25 Another means for curing blocks is by steam. The chamber temperature may be slowly increased over time and

then stabilized after the block has reached an equilibrium temperature and moisture content given the curing environment humidity and temperature. The steam is turned off and allowed to cool. In most instances, the blocks are  
5 generally allowed to sit for a period of time to promote structural integrity and strength before being stacked or stored. Critical to curing operations is a slow increase in temperature. If the temperature is increased too quickly, the blocks may "case-harden". Case hardening  
10 occurs when the outer shell of the block hardens and cures while the inner region of the block remains uncured and moist. While any of these curing mechanisms will work, the preferred mechanism is autoclaving.

Once cured the blocks may be split to create any  
15 number of functional or aesthetic features in the blocks. Splitting means which may be used in the invention include manual chisel and hammer as well as machines known to those with skill in the art. Flanges 66 (Fig. 9) may be positioned on the interior of the mold 50 side walls to  
20 provide a natural weak point or fault which facilitates the splitting action. The blocks may be split in a manner which provides a front surface 12 which is smooth or coarse (Figs. 1-6 and Figs. 9-11), single faceted (Fig. 1) or multifaceted (Fig. 4), as well as planar or curved. For  
25 example, the blocks may be split to provide a faceted front surface as shown in Figs. 4-6 by surfaces 12A, 12, and 12B.



Preferably, splitting will be completed by an automatic hydraulic splitter. When split, the blocks may be cubed and stored. Once split, the blocks may be cubed and stored.

5       The above discussion, examples, and embodiments illustrate our current understanding of the invention. However, since many variations of the invention can be made without departing from the spirit and scope of the invention, the invention resides wholly in the claims  
10 hereafter appended.

**WE CLAIM AS OUR INVENTION:**

1. A pinless composite masonry block comprising a front surface, a back surface, first and second sides, and a top surface and a bottom surface, said block comprising one or more protrusions positioned on said block top surface said protrusion comprising a side surface, wherein said protrusion side surface has an angle of at least about  $20^{\circ}$  from vertical, said protrusion positioned on said block top or bottom surface so as to mate with an opening of and adjacent by positioned block.

2. The block of claim 1 wherein each of said block sides have an inset spanning from said block top surface to said block bottom surface and wherein a portion of said block top surface comprises a protrusion which spans between said insets.

3. The block of claim 2 wherein said protrusion side surface has an angle ranging from about  $19^{\circ}$  to  $21^{\circ}$  from vertical.

4. The block of claim 1 wherein said block front surface is substantially planar.

5. The block of claim 1 wherein said block front surface is faceted.

6. The block of claim 1 wherein said block front surface is outwardly curving.

7. The block of claim 2 wherein said protrusion extends along said block top surface between said first and second inset.

8. The block of claim 1 wherein said block protrusion comprises first and second oblong sections between which is positioned a joining section, said joining section having a narrower width than either of said first and second oblong sections.

9. The block of claim 1 wherein said block has an open central portion extending from said top surface to said bottom surface.

10. The block of claim 1 wherein said block comprises two protrusions.

11. The block of claim 10 wherein said protrusions are positioned on said block top surface adjacent said first and second inset.

12. A pinless composite masonry block comprising a front surface and a back surface, a top surface and bottom surface, and first and second sides, said first side having a first inset wherein said first inset spans from said block top surface to said block bottom surface, said second side having a second inset, wherein said second inset spans from said block top surface to said block bottom surface, a protrusion on one of said block top or bottom surfaces, said protrusion comprising a side surface, said side surface has an angle of at least about 20° from vertical,

and, first and second anchoring legs, said first leg extending from said block first side and said second leg extending from said block second side.

13. The block of claim 12 wherein said block front surface is substantially planar.

14. The block of claim 12 wherein said block front surface is faceted.

15. The block of claim 12 wherein said block front surface is outwardly curving.

16. The block of claim 12 wherein said block protrusion side surface has an angle ranging from about 19° to 21° from vertical.

17. The block of claim 12 wherein said block has an open central portion extending from said top surface to said bottom surface.

18. The block of claim 12 wherein said block comprises two protrusions.

19. The block of claim 18 wherein said protrusions are positioned on said block top surface adjacent said first and second inset.

20. A retaining wall structure, said retaining wall structure comprising one or more courses, each of said courses comprising one or more pinless composite masonry blocks, each of said blocks comprising a front surface and a back surface, a top surface and bottom surface, and first and second sides, said first side having a first inset

wherein said first inset extends from said block top surface to said block bottom surface, said second side having a second inset, wherein said second inset extends from said block top surface to said block bottom surface, a protrusion on one of said block top or bottom surfaces, said protrusion comprising a side surface, said side surface has an angle of at least about 20° from vertical, wherein said block protrusion is configured to mate with the inset of one or more adjacently positioned block.

21. The structure of claim 20 wherein at least one of said blocks comprises first and second legs, said first leg extending from said block first side surface and said second leg extending from said block second side surface.

22. The retaining structure of claim 20 wherein said structure comprises at least an upper and an adjacent lower course wherein the blocks at least one of said upper course or said lower course comprise insets which are seated on the protrusions of the blocks of said adjacent course.

23. The structure of claim 22 wherein said retaining structure comprises a supporting matrix positioned between adjacent blocks of said upper and lower courses.

24. The structure of claim 23 wherein said supporting matrix comprises tie backs positioned between the blocks of said upper and lower courses.

25. The structure of claim 23 wherein said supporting matrix comprises a continuous webbing positioned between the blocks of said upper and lower courses.

26. A structure comprising the block of claim 1.

27. A structure comprising the block of claim 20.

Abstract of the Invention

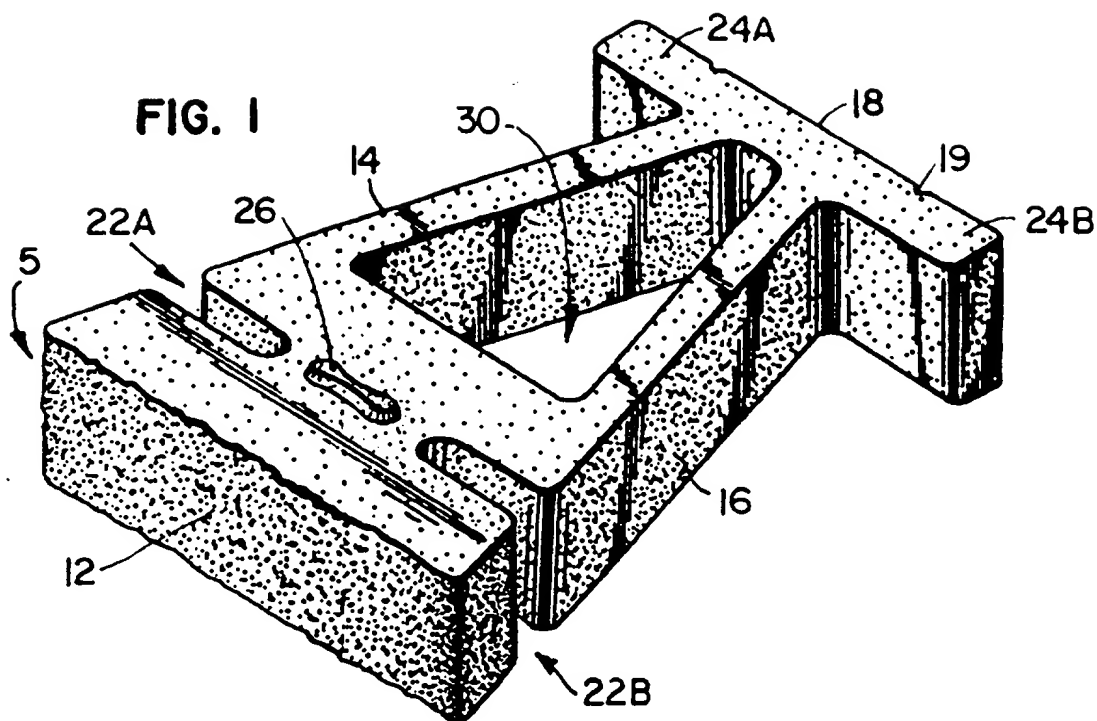
The invention is a composite masonry block having a front surface and a back surface which are adjoined by first and second side surfaces, as well as a top surface and a bottom surface. Each of the side surfaces has an inset extending from the block top surface to the block bottom surface. The block top surface has one or more protrusions positioned adjacent the first and second insets on the block top surface. The block also has a protrusion which has an angled side wall, the angle being at least about 20° from vertical. The protrusion is positioned on the block so that it will mate with any opening of an adjacently positioned course. In use, the blocks may be stacked to provide an interlocking structure wherein the protrusions of one block interfit or mate within the insets of another block.

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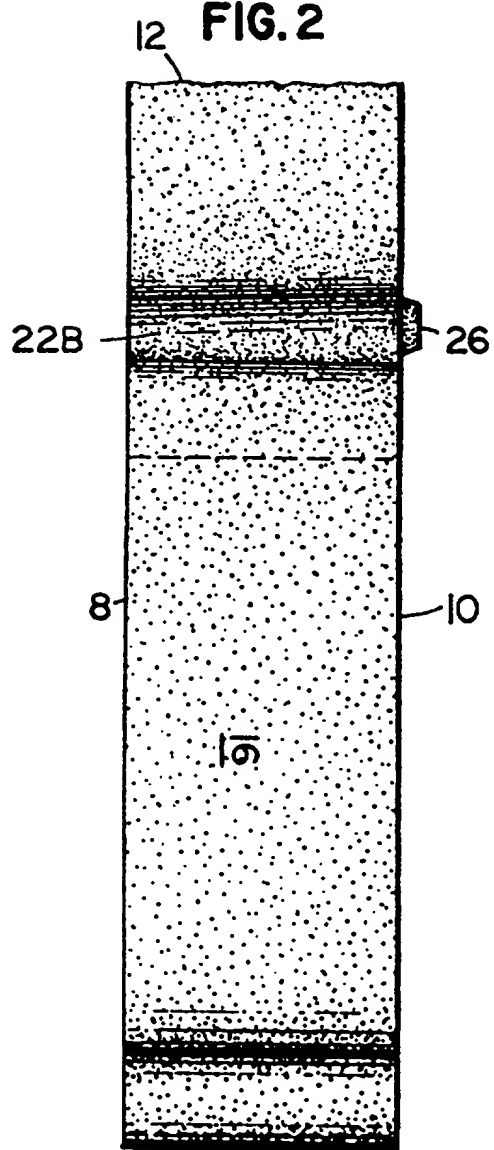
Date of Deposit \_\_\_\_\_  
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office" for service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D. C. 20231

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Katie Kause  
signature

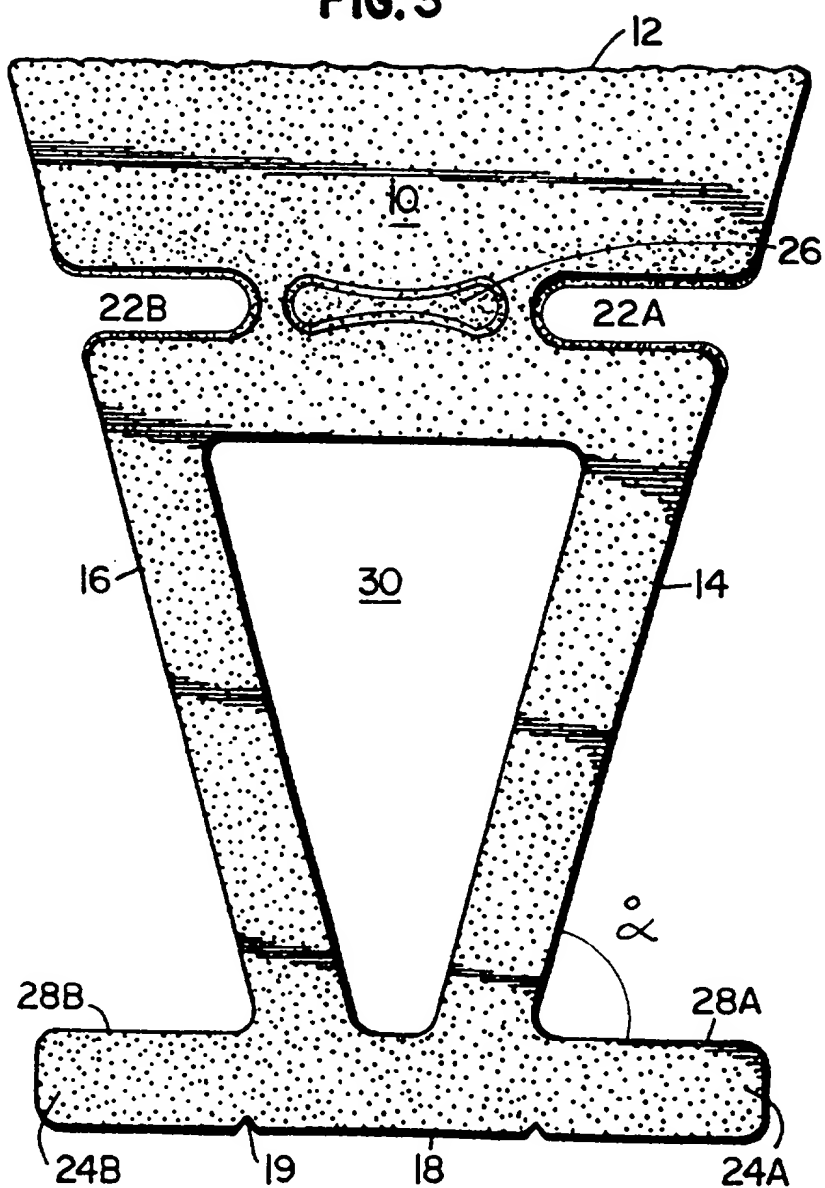
FIG. 1



**FIG. 2**

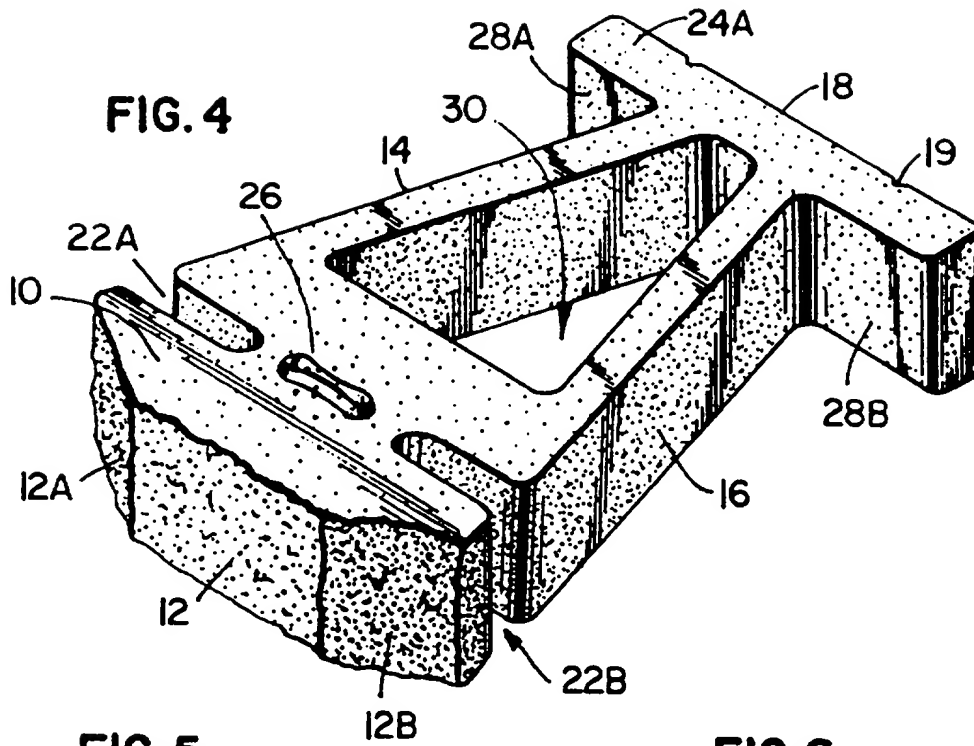


**FIG. 3**

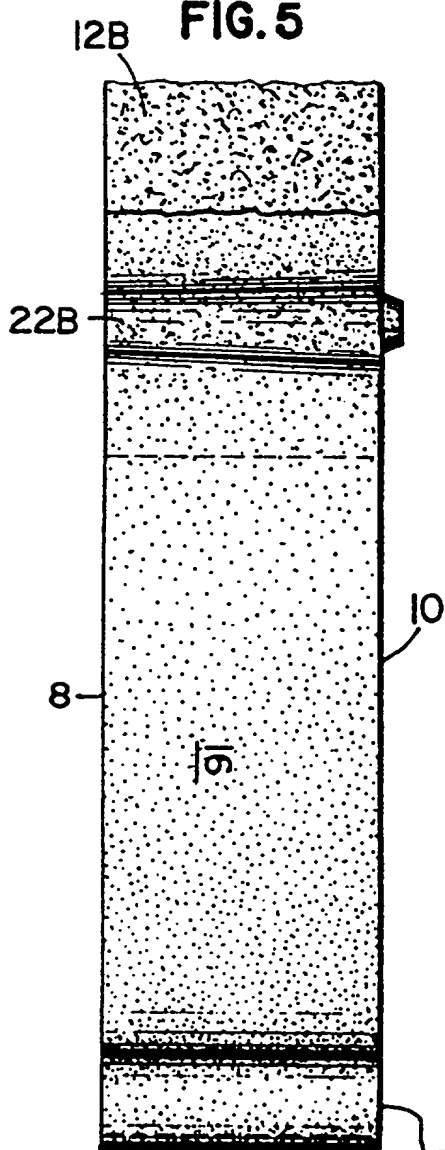




**FIG. 4**



**FIG. 5**



**FIG. 6**

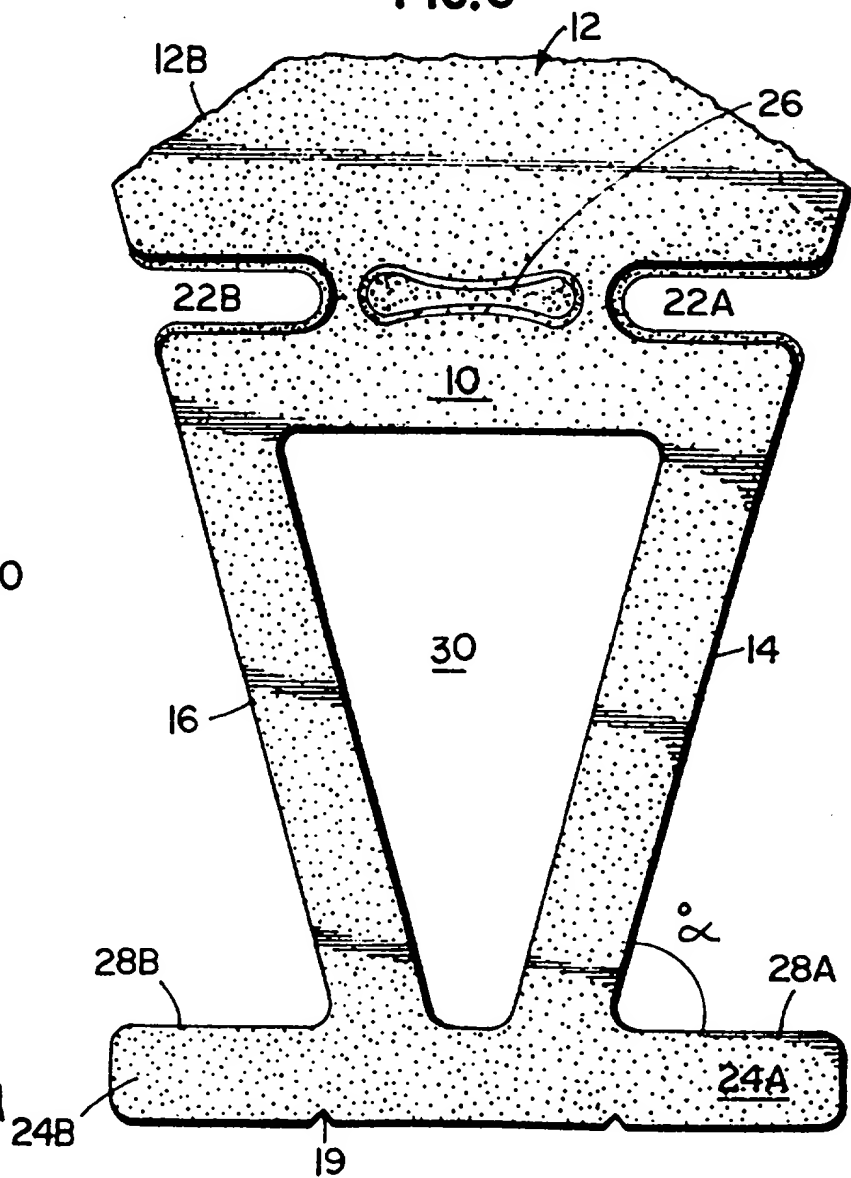


FIG. 7

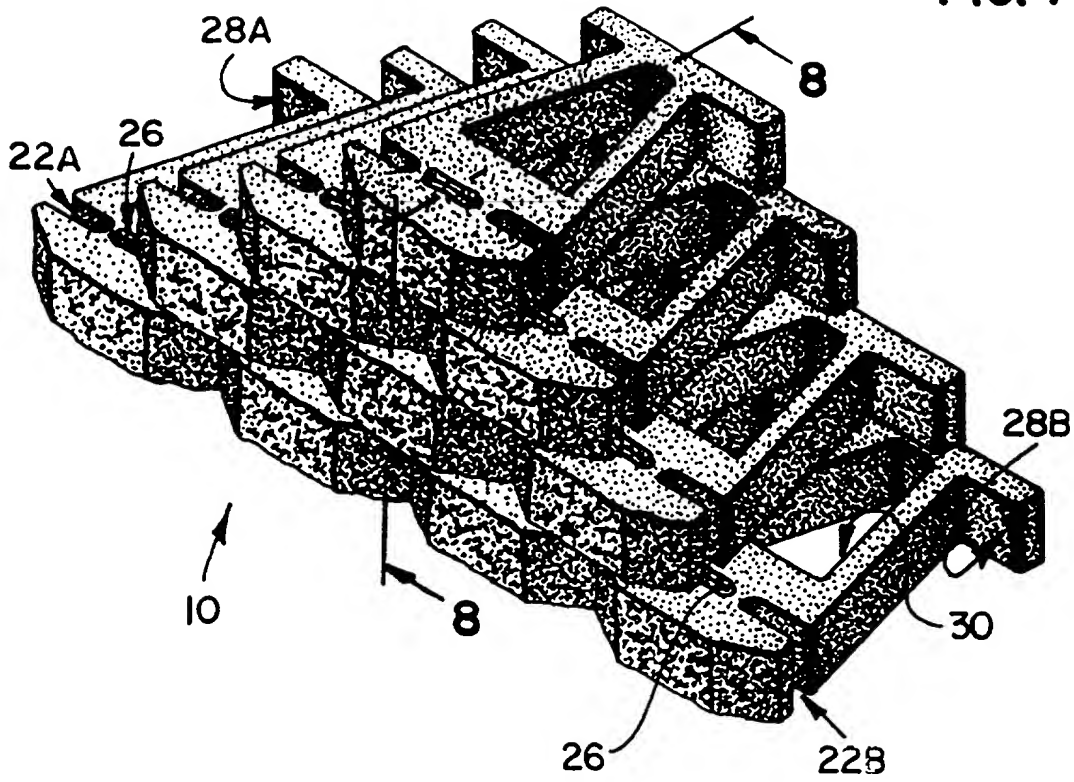
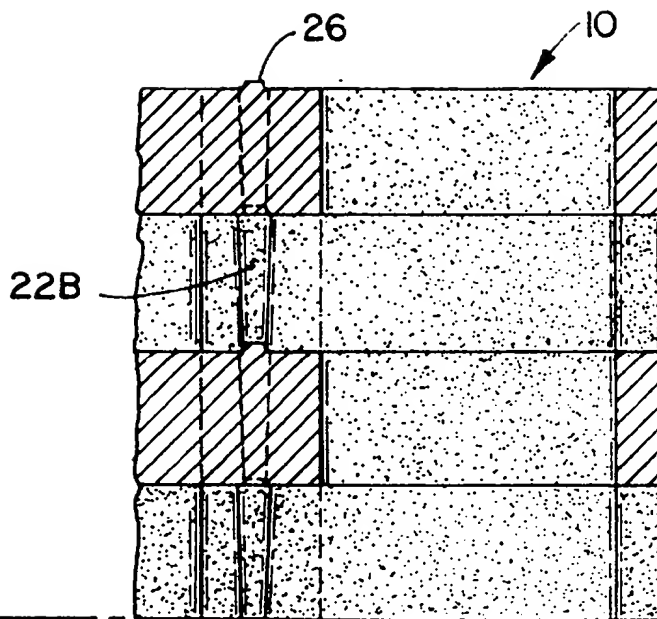


FIG. 8



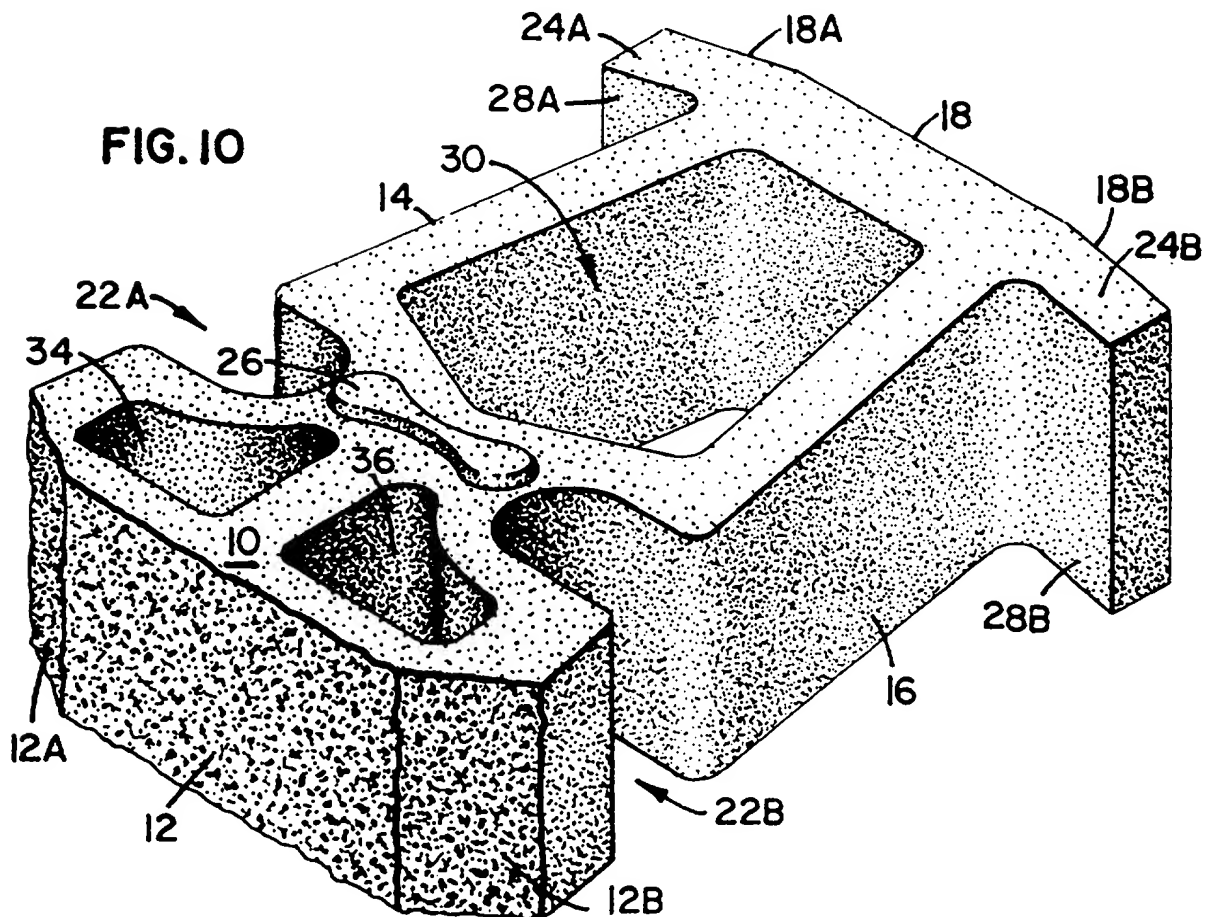


FIG. 11

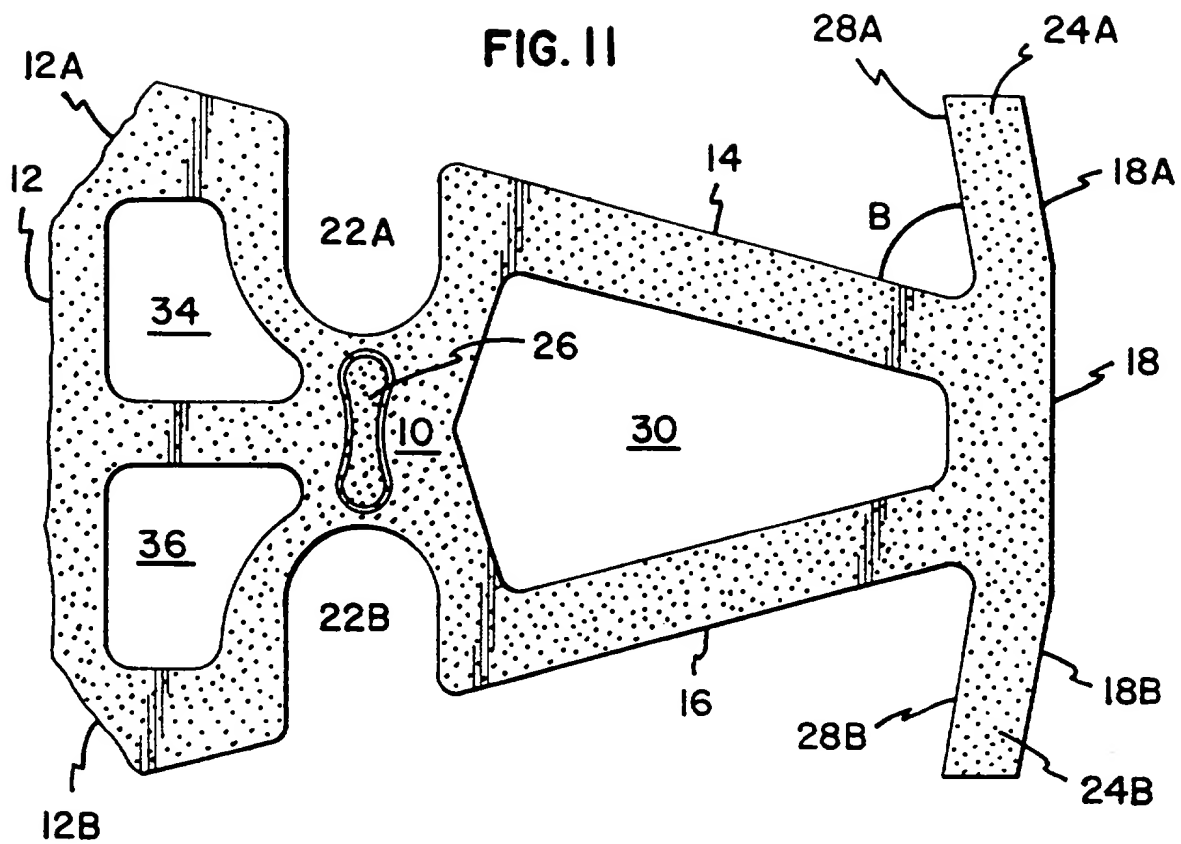
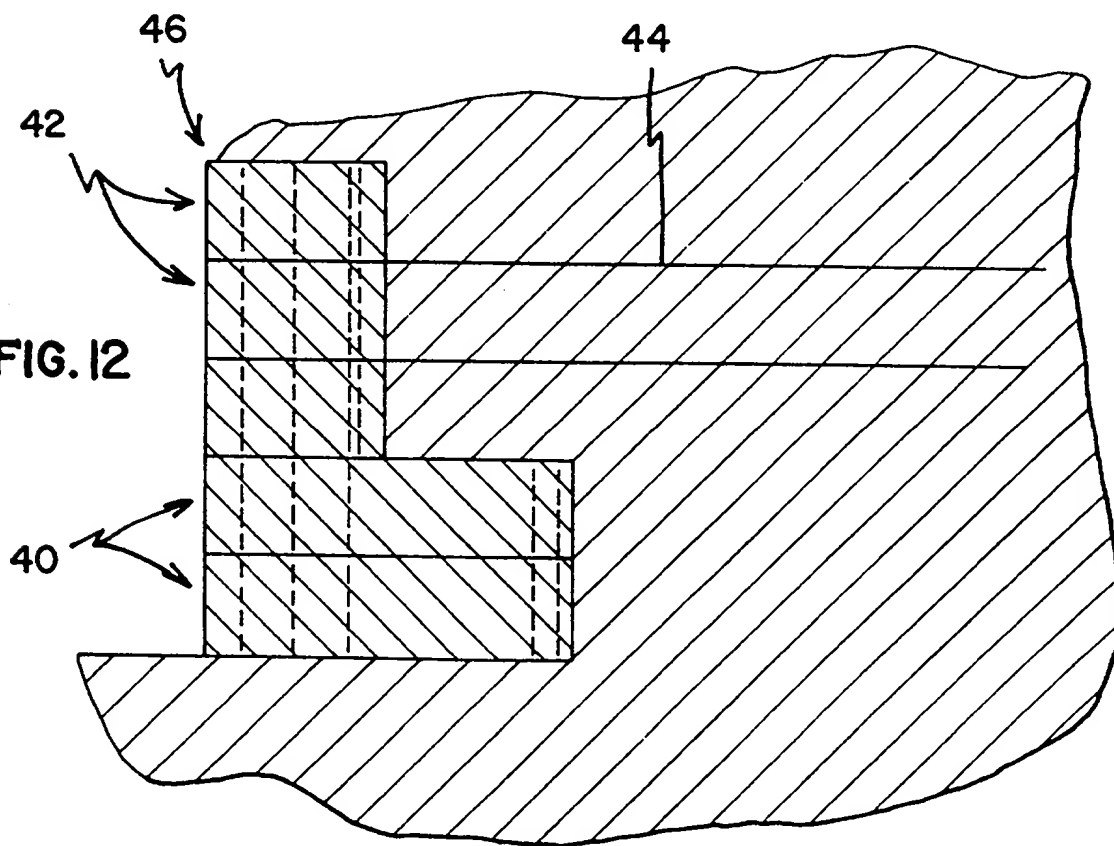


FIG. 12



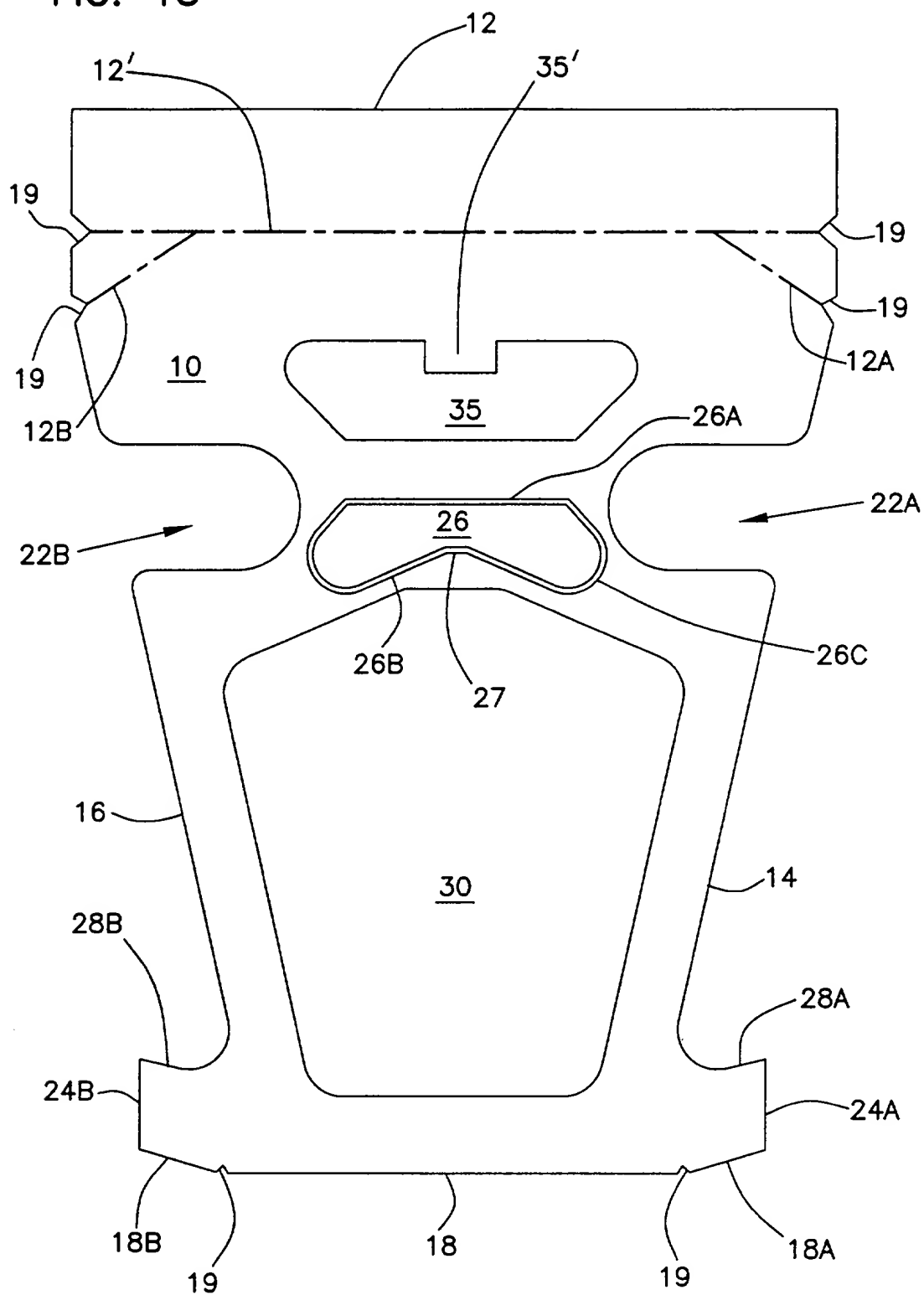
[illegible]

FIG. 14

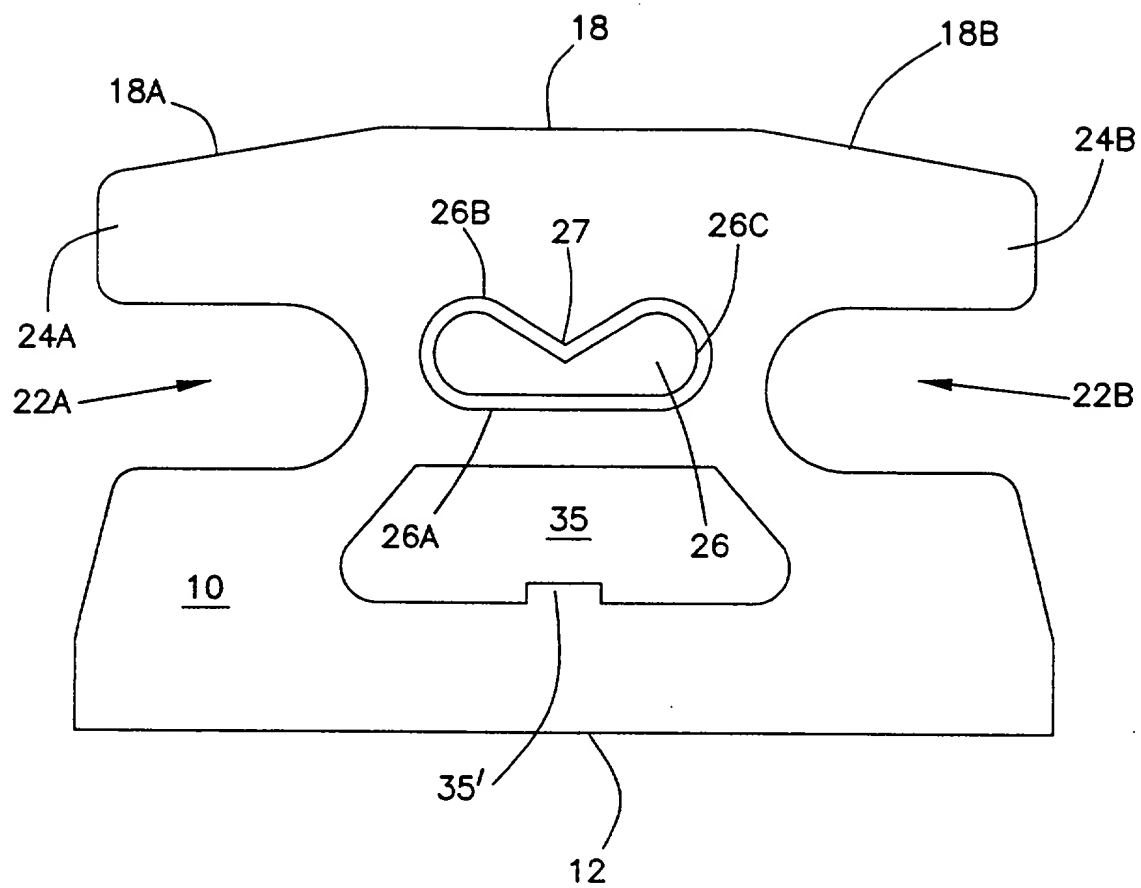


FIG. 15

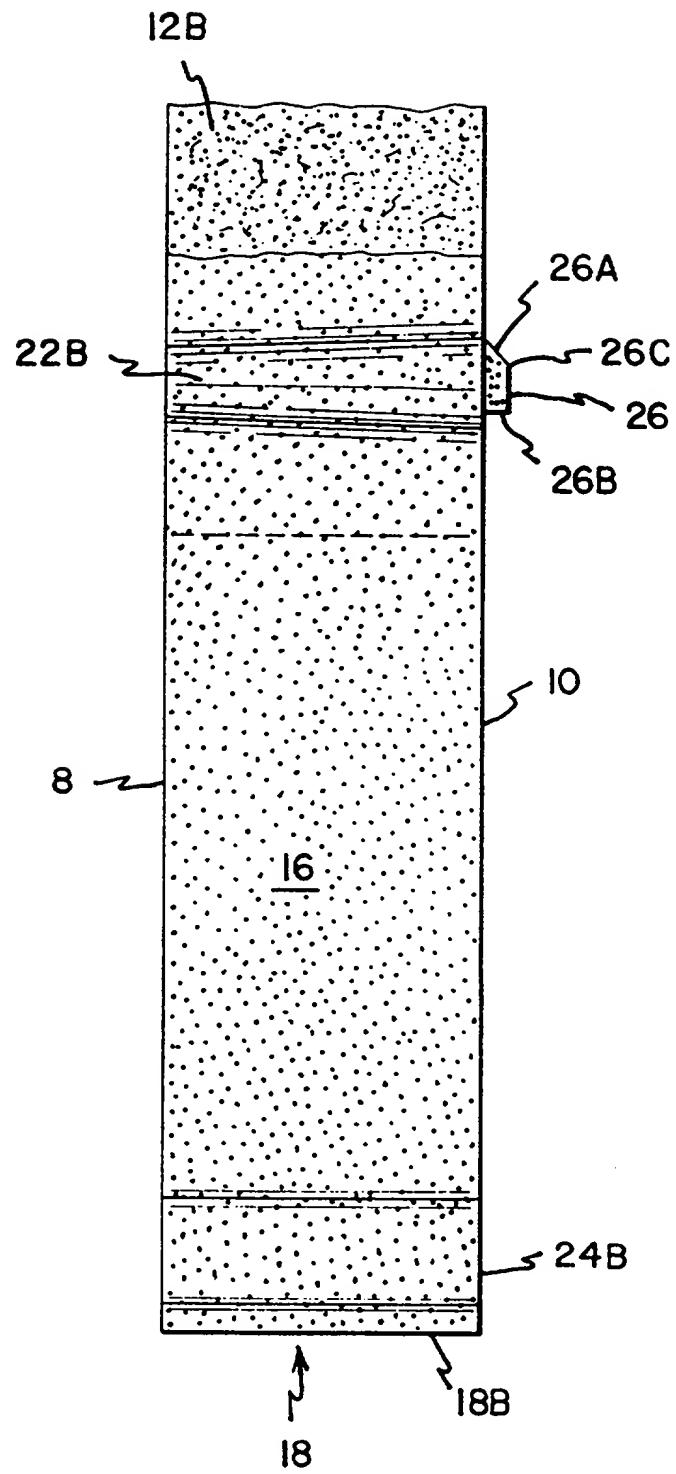


FIG. 16

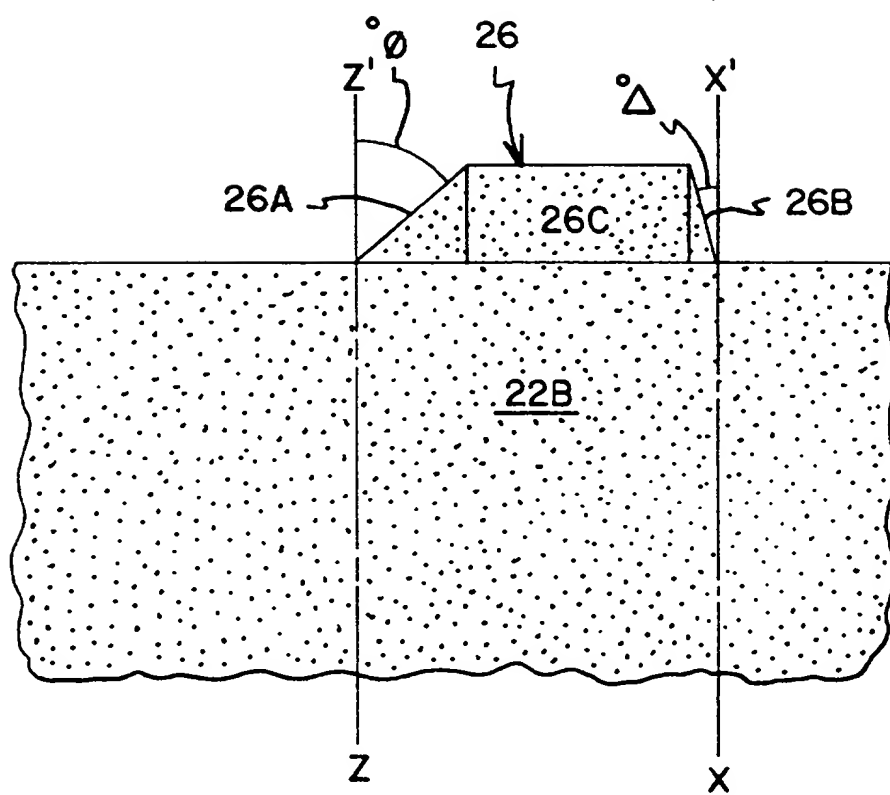




FIG. 17A

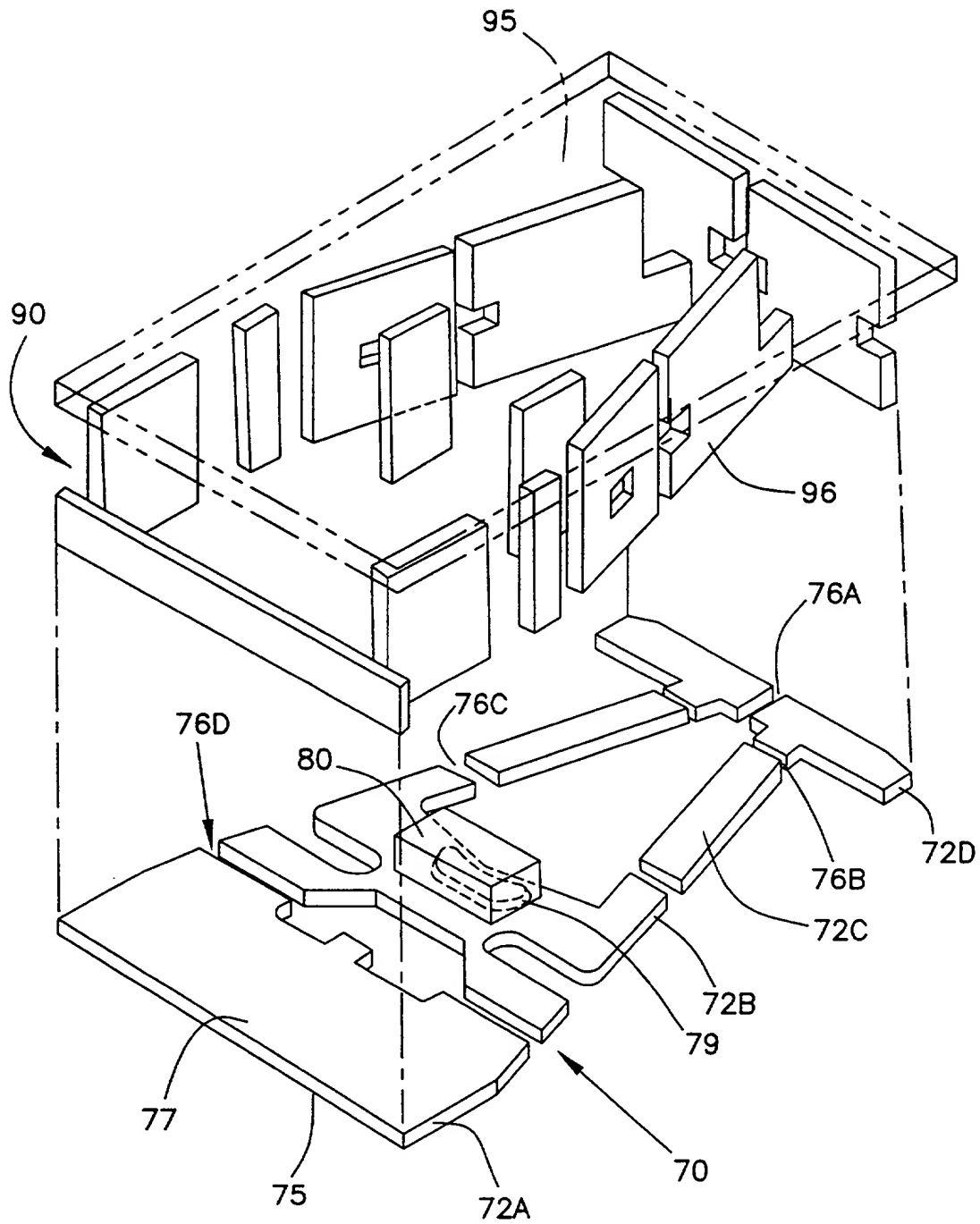
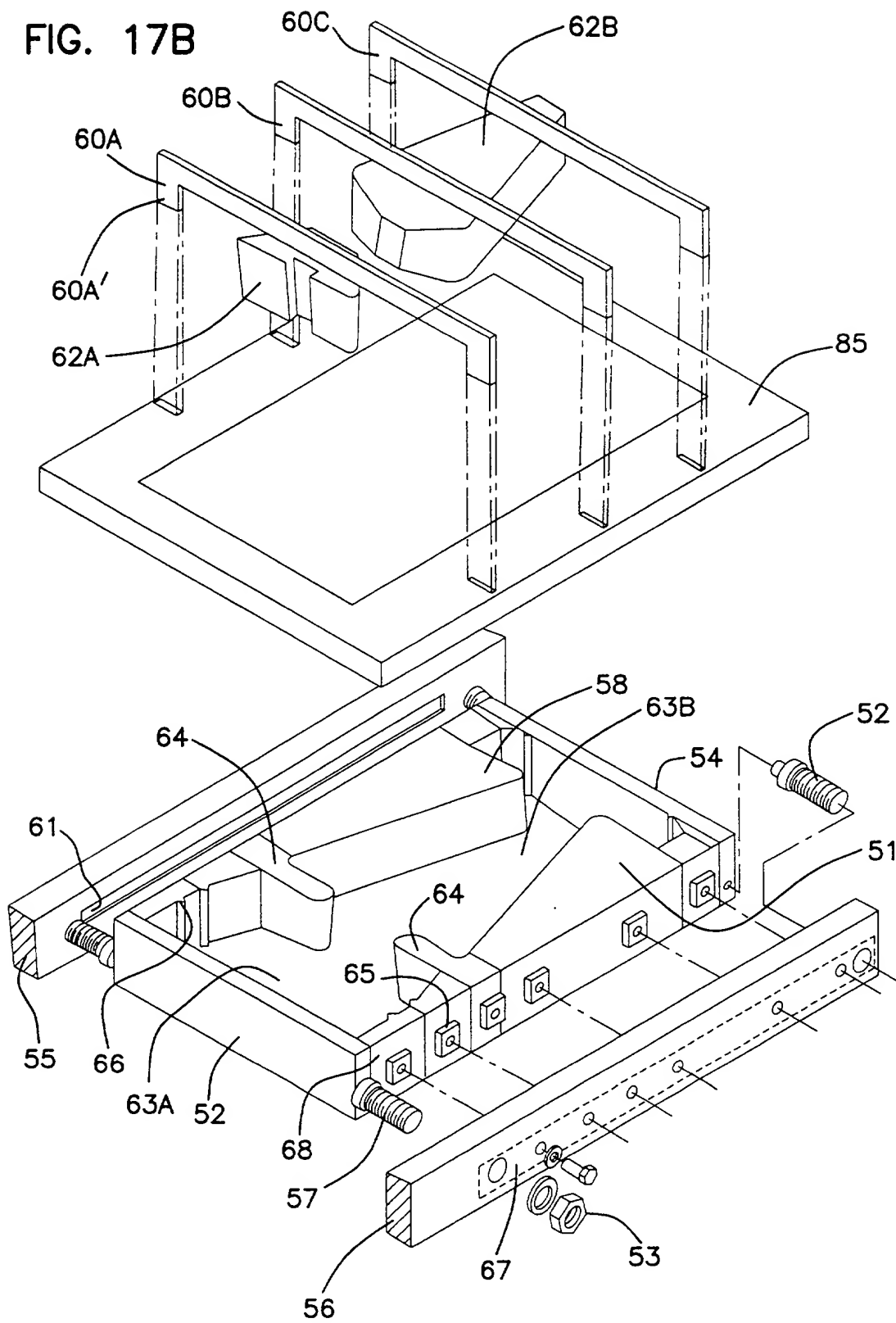
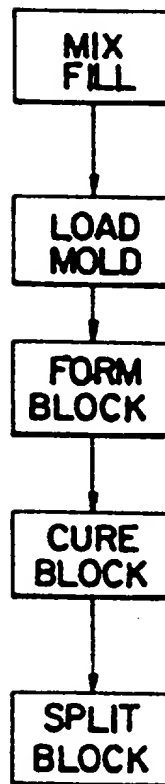


FIG. 17B



**FIG.18**



2025 RELEASE UNDER E.O. 14176

## United States Patent Application

## COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:  
COMPOSITE MASONRY BLOCK

The specification of which

a.    is attached hereto

b. X was filed on June 7, 1995 as application serial no. 08/474,097 and was amended on    (if applicable) (in the case of a PCT-filed application) described and claimed in international no.    filed    and as amended on    (if any), which I have reviewed and for which I solicit a United States patent.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56 (see page 3 attached hereto).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119/365 of any foreign application(s) for patent of inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:

a. no such applications have been filed.

b. X such applications have been filed as follows:

FOREIGN APPLICATION(S), IF ANY, CLAIMING PRIORITY UNDER 35 USC § 119			
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)
ALL FOREIGN APPLICATION(S), IF ANY, FILED BEFORE THE PRIORITY APPLICATION(S)			
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)

I hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)
08/130,298	1 October 1993	pending
08/056,986	4 May 1993	pending
07/957,598	6 October 1992	pending

I hereby appoint the following attorney(s) and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

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Beard, John L.	Reg. No. 27,612	Golla, Charles E.	Reg. No. 26,896	Reiland, Earl D.	Reg. No. 25,767
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Byrne, Linda M.	Reg. No. 32,404	Hillson, Randall A.	Reg. No. 31,838	Smith, Jerome R.	Reg. No. 35,684
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DiPietro, Mark J.	Reg. No. 28,707	McDonald, Wendy M.	Reg. No. 32,427	Welter, Paul A.	Reg. No. 20,890
Edell, Robert T.	Reg. No. 20,187	Mueller, Douglas P.	Reg. No. 30,300	Williams, Douglas J.	Reg. No. 27,054
Farber, Michael B.	Reg. No. 32,612	Nelson, Albin J.	Reg. No. 28,650	Wood, Gregory B.	Reg. No. 28,133
Fauver, Cole M.	Reg. No. 36,797	Plunkett, Theodore	Reg. No. 37,209		

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/organization/who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Merchant, Gould to the contrary.

Please direct all correspondence in this case to Merchant, Gould, Smith, Edell, Welter & Schmidt at the address indicated below:

Merchant, Gould, Smith, Edell,  
Welter & Schmidt  
3100 Norwest Center  
90 South Seventh Street  
Minneapolis, MN 55402-4131

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

201	Full Name Of Inventor	Family Name	First Given Name	Second Given Name
	Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
	Post Office Address	Post Office Address	City	State & Zip Code/Country
202	Full Name Of Inventor	Family Name	First Given Name	Second Given Name
	Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
	Post Office Address	Post Office Address	City	State & Zip Code/Country
203	Full Name Of Inventor	Family Name	First Given Name	Second Given Name
	Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
	Post Office Address	Post Office Address	City	State & Zip Code/Country

Signature of Inventor 201	Signature of Inventor 202	Signature of Inventor 203
Date	Date	Date

For Additional Inventors:

— Indicate here and attach sheet with same information, including date and signature.

## § 1.56 Duty to disclose information material to patentability.

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim;
- (2) It refutes, or is inconsistent with, a position the applicant takes in:
  - (i) Opposing an argument of unpatentability relied on by the Office, or
  - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) Each inventor named in the application;
- (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.